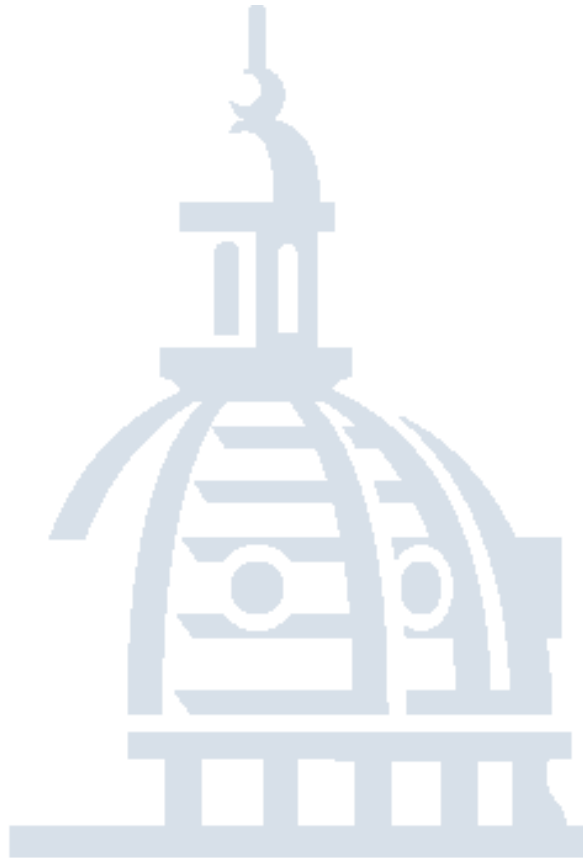

**HEALTH AND HUMAN SERVICES
APPROPRIATIONS SUBCOMMITTEE
DETAILED ANALYSIS OF THE
FY 2013 GOVERNOR'S RECOMMENDATIONS**



FISCAL SERVICES DIVISION

JANUARY 2012



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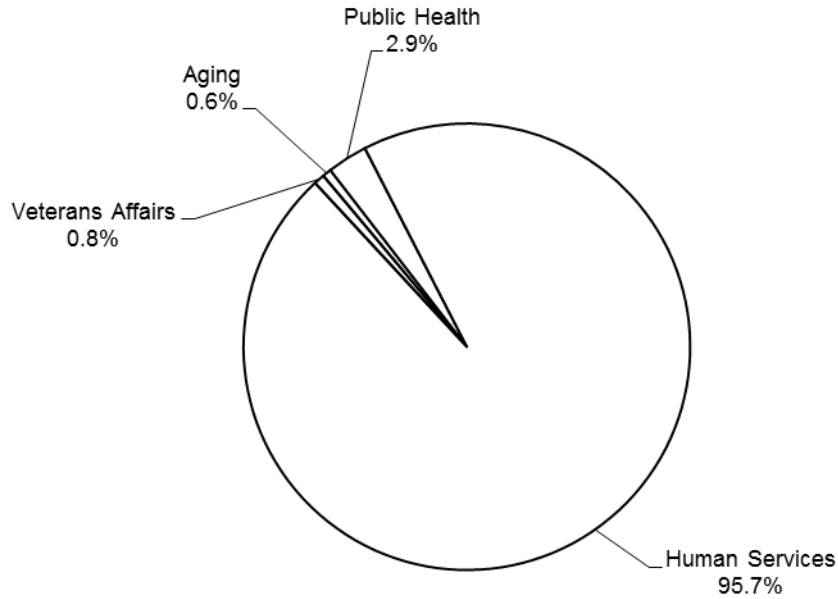
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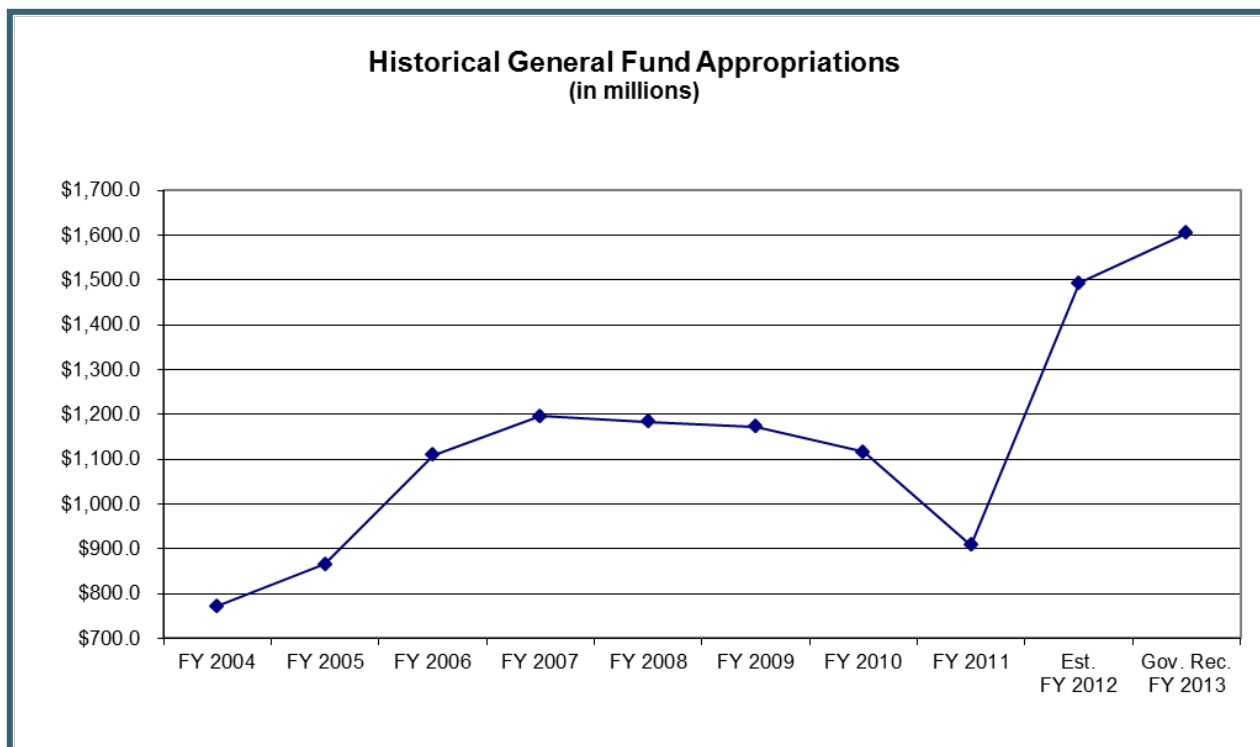
HEALTH AND HUMAN SERVICES APPROPRIATIONS SUBCOMMITTEE

FY 2013 General Fund Governor's Recommendations



FY 2013 General Fund Governor's Recommendations

Aging	\$ 10,302,577
Public Health	46,846,834
Human Services	1,535,026,034
Veterans Affairs	12,565,399
	<u>\$ 1,604,740,844</u>



FY 2013 GOVERNOR'S RECOMMENDATIONS

Department on Aging

The Department on Aging is designated as the State Unit on Aging. The Department advocates for Iowans age 60 and older and is responsible for developing a comprehensive and coordinated system of services and activities for older Iowans through the 13 local Area Agencies on Aging across the State.

The Governor is recommending an FY 2013 General Fund appropriation of \$10.3 million. This is no change compared to estimated FY 2012.

General Fund Recommendation

	<u>Estimated FY 2012</u>	<u>Gov Rec FY 2013</u>	<u>Gov Rec vs Est. FY 2012</u>
<u>Aging, Dept. on</u>			
<u>Aging, Dept. on</u>			
Aging Programs	\$ 10,302,577	\$ 10,302,577	\$ 0
Total Aging, Dept. on	<u>\$ 10,302,577</u>	<u>\$ 10,302,577</u>	<u>\$ 0</u>

Issues

Area Agency on Aging Reorganization – House File 45 (FY 2011 Appropriations Adjustment Act) required the Department to develop a plan to reduce the number of Area Agencies on Aging in the State. The Department has submitted this plan along with legislation to implement the plan. The Legislature may wish to review this plan and the companion legislation.

Department of Public Health

The Department of Public Health (DPH) works with local public health agencies to ensure quality health services in Iowa communities through contracts with all 99 counties to provide population-based health services and a limited number of personal health services. The Department assists in the prevention of epidemics and the spread of disease; protection against environmental hazards; preventing injuries; promoting and encouraging healthy behaviors and mental health; preparing for and responding to public health emergencies and assisting communities in recovery; and assuring the quality and accessibility of health services.

The Governor is recommending FY 2013 General Fund appropriations totaling \$46.8 million. This is no change compared to estimated FY 2012. The Governor is not recommending any other fund appropriations for FY 2013. This recommendation is no change compared to estimated FY 2012.

General Fund Recommendations

	Estimated FY 2012	Gov Rec FY 2013	Gov Rec vs Est. FY 2012
<u>Public Health, Dept. of</u>			
Public Health, Dept. of			
Addictive Disorders	\$ 23,503,190	\$ 23,503,190	\$ 0
Healthy Children and Families	2,594,270	2,594,270	0
Chronic Conditions	3,361,656	3,361,656	0
Community Capacity	4,235,166	4,235,166	0
Healthy Aging	7,297,142	7,297,142	0
Environmental Hazards	813,777	813,777	0
Infectious Diseases	1,345,847	1,345,847	0
Public Protection	2,776,232	2,776,232	0
Resource Management	819,554	819,554	0
Vision Screening	100,000	100,000	0
Total Public Health, Dept. of	\$ 46,846,834	\$ 46,846,834	\$ 0

Issues

Iowa e-Health Initiative – In March of 2010, DPH received federal funding from the American Recovery and Reinvestment Act (ARRA) of \$8.4 million for a four-year State Health Information Exchange (HIE) Cooperative Agreement Program. In order to meet the matching requirements related to this grant in SFY 2013, the Department will need an increase of \$364,000 above their annual appropriation of \$160,000, for a total General Fund appropriation of \$524,000. *The Governor is recommending this funding increase for FY 2013. See “DPH Transfer e-Health – HTCA” in “other funds” under the Department of Human*

Services below. The e-Health Executive Committee and Advisory Council has developed a sustainability plan that projects a significantly reduced General Fund request beginning in FY 2014.

Department of Human Services

The Department of Human Services (DHS) is responsible for administering cash assistance for needy families (Family Investment Program), food assistance, Medicaid, child support enforcement, subsidized adoption, child abuse assessments, dependent adult abuse assessments, foster care, various family preservation and strengthening programs, child care registration and subsidy, two institutions for juveniles, refugee services, and services for the mentally ill and developmentally disabled, including the operation of four mental health institutes and two resource centers for people with mental retardation.

The Governor is recommending FY 2013 General Fund appropriations totaling \$1,535.0 million. This is an increase of \$109.8 million compared to estimated FY 2012. The Governor is also recommending other fund appropriations totaling \$258.7 million for FY 2013. This recommendation is a decrease of \$8.4 million compared to estimated FY 2012.

General Fund Recommendations

	Estimated FY 2012	Gov Rec FY 2013	Gov Rec vs Est. FY 2012
<u>Human Services, Dept. of</u>			
Assistance			
Family Investment Program/JOBS	\$ 50,171,027	\$ 51,581,161	\$ 1,410,134
Medical Assistance	909,993,421	958,750,766	48,757,345
State Supplementary Assistance	16,850,747	16,850,747	0
State Children's Health Insurance	32,806,102	40,400,160	7,594,058
Child Care Assistance	53,237,662	53,237,662	0
Child and Family Services	82,830,163	79,941,095	-2,889,068
Adoption Subsidy	33,266,591	31,526,402	-1,740,189
Family Support Subsidy	1,167,998	1,096,784	-71,214
Connors Training	33,622	33,622	0
MI/MR/DD State Cases	12,169,482	12,169,482	0
MH/DD Community Services	14,211,100	14,211,100	0
Volunteers	84,660	84,660	0
MH/DD Growth Factor	54,697,893	74,697,893	20,000,000
Medical Contracts	0	8,460,680	8,460,680
State Mental Health Systems	0	314,047	314,047
Mental Health Redesign	0	17,750,000	17,750,000
Total Assistance	\$ 1,261,520,468	\$ 1,361,106,261	\$ 99,585,793
Toledo Juvenile Home			
Toledo Juvenile Home	\$ 8,258,251	\$ 8,328,264	\$ 70,013
Eldora Training School			
Eldora Training School	\$ 10,638,677	\$ 10,740,988	\$ 102,311

	Estimated FY 2012	Gov Rec FY 2013	Gov Rec vs Est. FY 2012
<u>Human Services, Dept. of - continued</u>			
Cherokee			
Cherokee MHI	\$ 5,877,308	\$ 5,641,037	\$ -236,271
Clarinda			
Clarinda MHI	\$ 6,411,734	\$ 6,463,337	\$ 51,603
Independence			
Independence MHI	\$ 10,275,685	\$ 9,804,212	\$ -471,473
Mt Pleasant			
Mt Pleasant MHI	\$ 944,323	\$ 944,323	\$ 0
Glenwood			
Glenwood Resource Center	\$ 18,507,801	\$ 19,092,576	\$ 584,775
Woodward			
Woodward Resource Center	\$ 12,785,658	\$ 13,176,093	\$ 390,435
Cherokee CCUSO			
Civil Commitment Unit for Sexual Offenders	\$ 7,550,727	\$ 8,413,668	\$ 862,941
Field Operations			
Child Support Recoveries	\$ 13,119,255	\$ 13,377,993	\$ 258,738
Field Operations	54,789,921	62,315,440	7,525,519
Total Field Operations	<u>\$ 67,909,176</u>	<u>\$ 75,693,433</u>	<u>\$ 7,784,257</u>
General Administration			
General Administration	<u>\$ 14,596,745</u>	<u>\$ 15,621,842</u>	<u>\$ 1,025,097</u>
Total Human Services, Dept. of	<u><u>\$ 1,425,276,553</u></u>	<u><u>\$ 1,535,026,034</u></u>	<u><u>\$ 109,749,481</u></u>

Significant changes include:

- **Family Investment Program(FIP)/Promise Jobs** – A net increase of \$1.4 million compared to estimated FY 2012, including:
 - An increase of \$3.8 million to replace one-time Temporary Assistance for Needy Families (TANF) carryforward.
 - A decrease of \$2.2 million due to a reduction in FIP caseload.
 - A decrease of \$121,000 as a result of postage savings in the Food Assistance Program.
- **Medicaid** – A net increase of \$48.8 million compared to estimated FY 2012, including:
 - An increase of \$75.0 million due to growth and adjustments to the Federal Medical Assistance Percentage (FMAP) match rate.
 - A decrease of \$1.6 million as a result of savings from a local yields management cost containment initiative.
 - A decrease of \$9.0 million as a result of savings from a hospital Medicare crossover claims cost containment initiative.
 - A decrease of \$4.9 million as a result of savings from a medical home cost containment initiative.
 - A decrease of \$1.9 million due to a changing reimbursement methodology for pharmacies from Average Wholesale Price (AWP) to Average Acquisition Cost (AAC).

- A decrease of \$85,000 due to savings from changes to physician brand-name drug reimbursement.
- A decrease of \$98,000 due to a Medicare Part B disallowance.
- A decrease of \$780,000 as a result of savings from an estate recovery cost containment initiative.
- A decrease of \$254,000 as a result of savings from hospital readmission policy change cost containment initiative.
- A decrease of \$2.1 million due to a change in the way the federal government calculates Psychiatric Medical Institute for Children (PMIC) ancillary costs.
- A decrease of \$5.0 million due to funds from the Mental Health Risk Pool being transferred to fund Medicaid.
- A decrease of \$3.5 million due to available carryforward from FY 2012 to FY 2013.
- A decrease of \$1.1 million due to available funds from a Children's Health Insurance Program Reauthorization Act (CHIPRA) bonus.
- A decrease of \$1.0 million due to \$1.0 million dollars in available carryforward from the IowaCare Program.
- **State Children's Health Insurance Program (SCHIP)** – An increase of \$7.6 million dollars compared to estimated FY 2012. The increase is due to Program growth and changes to the FMAP rate.
- **Child Care Assistance** – No overall net change. The following changes are recommended:
 - An increase of \$3.7 million in lieu of a transfer from Child and Family Services for Protective Assistance.
 - An increase of \$4.3 million for growth over FY 2012 levels.
 - A decrease of \$8.0 million to be replaced by CHIP contingency funding.
- **Child and Family Services** – A net decrease of \$2.9 million, including:
 - An increase of \$240,000 for the annual adjustment in Iowa's average FMAP rate.
 - Elimination of the transfer of \$3.7 million to Child Care Protective Services.
 - An increase of \$347,000 to maintain Attorney General reimbursement and staff.
 - An increase of \$198,000 for child welfare training.
 - An increase of \$23,000 for Preparation for Adult Living Program caseload growth.
- **Adoption Subsidy** – A decrease of \$1.7 million, including:
 - A reduction of \$186,000 to the carryforward replacement.
 - An increase of \$1.9 million to fund the FY 2012 shortfall in FY 2013.
 - An increase of \$680,000 to fund FY 2013 caseload growth.
 - An increase of \$1.2 million for annual FMAP change and overall program growth.
 - A decrease of \$5.3 million to be replaced with CHIP contingency funding.
- **Family Support Subsidy** – An decrease of \$71,000, including:
 - A decrease of \$59,000 due to children aging out of the program.

- A decrease of \$12,000 from efforts to expand children-at-home to the new areas of the state.
- **Mental Health/Developmentally Disabled (MH/DD) Growth Factor** – An increase of \$20.0 million compared to estimated FY 2012. In FY 2012, \$20.0 million was funded from a supplemental appropriation carried forward from FY 2011. There is actually no change in funding between FY 2012 and FY 2013.
- **Mental Health Redesign** – A net increase of \$17.8 million compared to estimated FY 2012, including:
 - An increase of \$30.0 million to buyout the county Medicaid expenditures.
 - A decrease of \$11.3 million due to a savings from rebalancing the Medicaid Program.
 - A decrease of \$1.0 million due to a recoupment from Magellan (managed care contractor for Medicaid).
- **Medical Contracts** – A net increase of \$8.4 million, including:
 - An increase of \$8.2 million to fund Medical Contracts. In FY 2012, Medical Contracts was funded from the Pharmaceutical Settlement Account.
 - A decrease of \$5,000 due to savings related to a NevadaCare lawsuit.
 - A decrease of \$54,000 due to eliminating one-time IME reprourement costs.
 - An increase of \$64,000 due to administration of the Electronic Health Records Incentive Program.
 - An increase of \$22,000 due to increases in operational costs and inflation.
 - An increase of \$255,000 due to increased rental space cost.
 - A decrease of \$400,000 due to additional funds available in the Health Care Transformation Account.
- **State Mental Health System** – An increase of \$314,000 compared to estimated FY 2012, including:
 - An increase of \$236,000 to replace one time Substance Abuse and Mental Health Services Administration (SAMSHA) Circle of Care grant.
 - An increase of \$78,000 to restore one-time Central Iowa System of Care carryforward.
- **Toledo Juvenile Home** – An increase of \$70,013 compared to estimated FY 2012, including:
 - An increase of \$53,000 for increases in pharmacy, food, transportation, and utilities costs.
 - An increase of \$17,000 for increases in printing, postage, IT, and workers compensation costs.
- **Eldora Training School** – An increase of \$102,310 compared to estimated FY 2012, including:
 - An increase of \$78,000 for increases in pharmacy, food, transportation, and utilities costs.
 - An increase of \$25,000 for increases in printing, postage, IT, and workers compensation costs.
- **Cherokee Mental Health Institute** – A net decrease of \$237,000 compared to estimated FY 2012, including:
 - An increase of \$102,000 for increased cost of food, pharmaceuticals transportation and utilities.
 - An increase of \$30,000 for increased costs of printing, postage, technology, and workers compensation costs.
 - A decrease of \$369,000 due to a transfer to the Civil Commitment Unit for Sex Offenders (CCUSCO).

- **Clarinda Mental Health Institute** – An increase of \$52,000 compared to estimated FY 2012, including:
 - An increase of \$40,000 for increased cost of food, pharmaceuticals transportation and utilities.
 - An increase of \$11,000 for increased costs of printing, postage, technology, and workers compensation costs.
- **Independence Mental Health Institute** – An net decrease of \$471,000 compared to estimated FY 2012, including:
 - An increase of \$93,000 for increased cost of food, pharmaceuticals transportation and utilities.
 - An increase of \$24,000 for increased costs of printing, postage, technology, and workers compensation costs.
 - An increase of \$12,000 due to a reduction in the federal FMAP rate.
 - A decrease of \$600,000 due to increased federal revenue for PMIC's.
- **Glenwood Resource Center** – An increase of \$585,000 compared to FY 2012. The increase is due to a reduction in the federal FMAP rate.
- **Woodward Resource Center** – An increase of \$390,000 compared to FY 2012. The increase is due to a reduction in the federal FMAP rate.
- **Cherokee Civil Commitment Unit for Sex Offender** – An increase of \$863,000 compared to estimated FY 2012, including:
 - An increase of \$9,000 for increases in printing, postage, IT, and workers compensation costs.
 - An increase of \$485,000 for 12 additional court-ordered offenders.
 - An increase of \$369,000 to maintain services at projected FY 2012 levels.
- **Child Support Recoveries** – An increase of \$259,000 compared to FY 2012. The increase is due to increased cost of service.
- **Field Operations** – An increase of \$7.5 million compared to estimated FY 2012, including:
 - An increase of \$4.4 million to maintain field staff at FY 2012 levels.
 - An increase of \$3.2 million to maintain cases per worker at FY 2012 levels.
- **General Administration** – An increase of 1.0 million, including:
 - An increase of \$550,000 to restore general administration to the FY 2011 service level, which was funded with carryforward in FY 2012.
 - An increase of \$255,000 to restore remaining carryforward from FY 2011 to FY 2012.
 - An increase of \$220,000 for the increased costs of services from the Department of Administrative Services (DAS).

Other Fund Recommendations

	Estimated FY 2012	Gov Rec FY 2013	Gov Rec vs Est. FY 2012
Human Services, Dept. of			
Assistance			
Medical Assistance - HCTF	\$ 106,363,275	\$ 106,046,400	\$ -316,875
Medical Contracts-Pharm Settlement - PhSA	10,907,457	2,716,807	-8,190,650
Broadlawns Hospital - ICA	65,000,000	69,000,000	4,000,000
Regional Provider Network - ICA	3,472,176	4,986,366	1,514,190
Nonparticipating Providers - NPPR	2,000,000	2,000,000	0
Medical Information Hotline - HCTA	100,000	100,000	0
Health Partnership Activities - HCTA	600,000	600,000	0
Audits, Performance Eval., Studies - HCTA	125,000	125,000	0
IowaCare Admin. Costs - HCTA	1,132,412	1,132,412	0
Dental Home for Children - HCTA	1,000,000	1,000,000	0
MH/DD Workforce Development - HCTA	50,000	50,000	0
Medical Contracts - HCTA	2,000,000	2,400,000	400,000
Broadlawns Admin - HCTA	290,000	290,000	0
Medical Assistance - QATF	29,000,000	26,500,000	-2,500,000
Medical Assistance-HHCAT	39,223,800	33,898,400	-5,325,400
Nonparticipating Provider Reimb Fund-HHCAT	776,200	801,600	25,400
Electronic Medical Records - HCTA	100,000	100,000	0
Medical Assistance - HCTA	1,956,245	1,956,245	0
Care Coordination - ICA	500,000	500,000	0
Lab Test & Radiology Pool - ICA	1,500,000	1,500,000	0
Uniform Cost Report - HCTA	150,000	150,000	0
DPH Transfer Health Care Access Council - HCTA	134,214	134,214	0
Accountable Care Pilot - HCTA	100,000	100,000	0
DPH Transfer e-Health - HCTA	363,987	363,987	0
DPH Transfer Medical Home - HCTA	233,357	233,357	0
Medicaid Supplemental - Medicaid Fraud	0	2,000,000	2,000,000
Total Human Services, Dept. of	\$ 267,078,123	\$ 258,684,788	\$ -8,393,335

Significant changes include:

- A decrease of \$317,000 from the Health Care Trust Fund to Medicaid. The decrease is a result of less interest available in the fund.
- A decrease of \$8.2 million from the Pharmaceutical Settlement Account to Medical Contracts. The decrease is a result of one-time funds available in FY 2012 from a large settlement.
- An increase of \$4.0 million from the IowaCare Account to Broadlawns Hospital.
- An increase of \$1.5 million from the IowaCare Account for the IowaCare Regional Provider Network.
- An increase of \$400,000 from the Health Care Transformation Account to Medical Contracts.
- A decrease of \$2.5 million from the Quality Assurance Trust Fund to Medicaid. The decrease is a result of less revenue in the fund.
- A decrease of \$5.3 million from the Hospital Health Care Access Trust Fund to Medicaid. The decrease is a result of less revenue in the fund.

- An increase of \$25,000 from the Hospital Health Care Access Trust Fund to the Hospital Nonparticipating Provider Account. This will continue the Program at a \$2.0 million dollar level with federal match.
- An increase of \$2.0 million from the Medicaid Fraud Account for Medicaid.

Issues

Child Care Assistance (CCA) – The CCA Program is facing a projected funding gap in FY 2013 of \$8.0 million to \$12.8 million, with a midpoint need of \$10.4 million. This need is in addition to the \$53.2 million base appropriation for FY 2012. The following factors are involved:

- Caseloads continue to grow in FY 2012 and are projected to grow slightly in FY 2013.
- \$3.3 million carried forward from FY 2011 to FY 2012 will not be available in FY 2013.

For FY 2013, the Governor is recommending no net General Fund change to the CCA Program, for a total General Fund appropriation of \$53.2 million. The Governor's funding recommendations include a direct General Fund appropriation to replace the FY 2012 transfer from Children and Family Services to CCA of \$3.7 million and an allowance for FY 2013 growth of \$4.3 million. The allowance for growth is below the projected FY 2013 range of need of \$8.0 million to \$12.8 million, with a midpoint of \$10.4 million. The Governor is recommending \$8.0 million in federal CHIP contingency funding to cover one-time state funded program costs in FY 2013, thus producing no net increase in the General Fund appropriation.

Additionally, it is possible that the DHS may be required to pay back \$2.5 million in federal funds as a result of a FY 2004 reobligation issue noted in an Office of Inspector General audit. The DHS intends to appeal the audit finding.

Adoption Subsidy Program – The United States Department of Health and Human Services released Federal Fiscal Year 2013 FMAP rates in November 2011. The average FMAP rate for Iowa will decrease by 1.32% in SFY 2013 compared to SFY 2012. Additional State funds may be needed to address this decrease in federal funds.

It is projected that the Adoption Subsidy Program will have a funding gap in FY 2013 of \$3.6 million. Caseload growth and the decrease in the average FMAP rate are the leading reasons for the need in FY 2013. *For FY 2013, the Governor is recommending a net General Fund decrease of \$1.7 million to the Adoption Subsidy Program for a total General Fund appropriation of \$31.5 million. The Governor's recommended increases to fund the FMAP rate change and caseload growth address the projected \$3.6 million need. The Governor's recommendations allocate \$5.3 million in federal CHIP contingency funding to one-time state program costs in FY 2013, thus producing a net General Fund decrease of \$1.7 million.*

Medicaid – *The Governor is recommending an additional \$48.8 million General Fund appropriation for Medicaid in FY 2013. With the General Fund appropriation and various cost containment strategies, savings, and additional appropriations, the Governor is recommending funding of Medicaid at \$17.0 million below the midpoint of the range agreed upon by staff from LSA, DHS, and the Department of Management (DOM). The Governor is within the bottom end of the range by \$3.0 million for FY 2013. For more information see the Medicaid Section in this document.*

Department of Veterans Affairs

The Department of Veteran Affairs includes the Department and the Iowa Veterans Home. The Department provides services to veterans regarding federal pension applications, identifying services to reimburse from the Veterans Trust Fund interest revenues, establishing the Veterans Cemetery, and providing assistance for the County Grant Program, the Injured Veterans Grant Program, and the

Vietnam Veterans Bonus Program. The Iowa Veterans Home provides services to veterans at the Home in Marshalltown, including domiciliary, residential, and pharmaceutical.

The Governor is recommending FY 2013 General Fund appropriations totaling \$12.6 million. This is an increase of \$1.6 million compared to estimated FY 2012. The Governor is not recommending other fund appropriations to the Department of Veterans Affairs. This is no change compared to estimated FY 2012.

General Fund Recommendations

	Estimated FY 2012	Gov Rec FY 2013	Gov Rec vs Est. FY 2012
<u>Veterans Affairs, Dept. of</u>			
Veterans Affairs, Department of			
General Administration	\$ 998,832	\$ 1,010,832	\$ 12,000
War Orphans Educational Assistance	12,416	12,416	0
Vets Home Ownership Program	0	1,600,000	1,600,000
Veterans County Grants	990,000	990,000	0
Total Veterans Affairs, Department of	\$ 2,001,248	\$ 3,613,248	\$ 1,612,000
Veterans Affairs, Dept. of			
Iowa Veterans Home	\$ 8,952,151	\$ 8,952,151	\$ 0
Total Veterans Affairs, Dept. of	\$ 10,953,399	\$ 12,565,399	\$ 1,612,000

Significant changes include:

- **Department of Veterans Affairs Administration** – An increase of \$12,000.
- **Vets Home Ownership Program** – An increase of \$1.6 million. This program was provided \$1.0 million through the Rebuild Iowa Infrastructure Fund (RIIF) in FY 2012. The Governor's recommended budget moves this program to the General Fund in FY 2013 and increases the total appropriation by \$600,000.
- **Veterans County Grants Program** – No change. This recommendation would continue to permit each county to receive the \$10,000.

Issues

Veterans Home Ownership Assistance Program – The Veterans Home Ownership Assistance Program is administered by the Iowa Finance Authority. The Program was created in FY 2005 and provides eligible service members and veterans with a \$5,000 grant that may be used as a down payment and towards closing costs for the purchase of a home in Iowa. As of November 15, 2011, the Iowa Finance Authority is no longer accepting Military Homeownership Assistance program grant requests, due to the funding level. The Program received an appropriation from the Rebuild Iowa Infrastructure Fund of \$1.0 million in FY 2012. *The Governor is recommending an FY 2013 appropriation of \$1.6 million from the General Fund.*

Iowa Veterans Home Carryforward Funding – It is estimated that the Veteran's Home will have approximately \$2.8 million in carryforward from FY 2012 to FY 2013. The code allows the Veterans Home to carry all of this money forward. *The Governor is recommending that the Veterans Home use \$976,000 of these funds to replace a boiler. The Governor then allows the Veterans Home to carryforward \$500,000, with the balance of the carryforward reverting to the General Fund.*

Board of Regents

The Board of Regents oversees the University of Iowa Hospitals and Clinics (UIHC) and receives funding for IowaCare through the Health and Human Services Appropriations Subcommittee.

The Governor is recommending other fund appropriations totaling \$89.2 million for FY 2012. This is an increase of \$1.4 million compared to estimated FY 2012. The increase is a result of growth in the IowaCare Program.

	<u>Estimated</u> <u>FY 2012</u>	<u>Gov Rec</u> <u>FY 2013</u>	<u>Gov Rec vs</u> <u>Est. FY 2012</u>
<u>Regents, Board of</u>			
Regents, Board of			
UI - UIHC IowaCares Program - ICA	\$ 27,284,584	\$ 27,284,584	\$ 0
UI - UIHC IowaCares Expansion Pop - ICA	44,226,279	45,654,133	1,427,854
UI - UIHC IowaCares Physicians - ICA	16,277,753	16,277,753	0
Total Regents, Board of	<u>\$ 87,788,616</u>	<u>\$ 89,216,470</u>	<u>\$ 1,427,854</u>

Legislative Health Care Coverage Commission

The Mental Health and Disability Services Study Committee was created in SF 525 (FY 2012 Adult Disability Services System Redesign Act). The Committee was charged with working with the DHS and stakeholders to redesign the current county mental health system. For more information on the Study Committee and recommendations, please see the Mental Health and Disability Services Section of this document.

LSA Publications

The following publications have been published by the LSA that relate to the Health and Human Services Appropriations Subcommittee:

- Fiscal Topic: [Budget Unit: Addictive Disorders](#)
- Fiscal Topic: [Budget Unit: Child Care Assistance](#)
- Fiscal Topic: [Budget Unit: Iowa Veterans Home](#)
- Fiscal Topic: [Budget Unit: Medicaid](#)
- Fiscal Topic: [Budget Unit: State Children's Health Insurance Program](#)
- Fiscal Topic: [Federal Medical Assistance Percentage \(FMAP\) Rates](#)
- Fiscal Topic: [Iowa Veterans Home](#)
- Fiscal Topic: [Shelter Care](#)

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Appendix A

General Fund Tracking

Health and Human Services

General Fund

	Actual FY 2011 (1)	Estimated FY 2012 (2)	Enacted FY 2013 (3)	Gov Rec Adjust. FY 2013 (4)	Total Gov Rec FY 2013 (5)	Total Gov Rec vs Est FY 2012 (6)	Percent Change (7)
<u>Aging, Dept. on</u>							
Aging, Dept. on							
Aging Programs	\$ 4,391,322	\$ 10,302,577	\$ 5,151,288	\$ 5,151,289	\$ 10,302,577	\$ 0	0.0%
Total Aging, Dept. on	\$ 4,391,322	\$ 10,302,577	\$ 5,151,288	\$ 5,151,289	\$ 10,302,577	\$ 0	0.0%
<u>Public Health, Dept. of</u>							
Public Health, Dept. of							
Addictive Disorders	\$ 27,391,053	\$ 23,503,190	\$ 11,751,595	\$ 11,751,595	\$ 23,503,190	\$ 0	0.0%
Healthy Children and Families	2,608,410	2,594,270	1,297,135	1,297,135	2,594,270	0	0.0%
Chronic Conditions	3,324,548	3,361,656	1,680,828	1,680,828	3,361,656	0	0.0%
Community Capacity	5,059,107	4,235,166	2,117,583	2,117,583	4,235,166	0	0.0%
Healthy Aging	7,804,406	7,297,142	3,648,571	3,648,571	7,297,142	0	0.0%
Environmental Hazards	833,927	813,777	406,888	406,889	813,777	0	0.0%
Infectious Diseases	1,431,752	1,345,847	672,923	672,924	1,345,847	0	0.0%
Public Protection	3,126,036	2,776,232	1,388,116	1,388,116	2,776,232	0	0.0%
Resource Management	871,866	819,554	409,777	409,777	819,554	0	0.0%
Vision Screening	0	100,000	0	100,000	100,000	0	0.0%
Total Public Health, Dept. of	\$ 52,451,105	\$ 46,846,834	\$ 23,373,416	\$ 23,473,418	\$ 46,846,834	\$ 0	0.0%

Health and Human Services

General Fund

	Actual FY 2011 (1)	Estimated FY 2012 (2)	Enacted FY 2013 (3)	Gov Rec Adjust. FY 2013 (4)	Total Gov Rec FY 2013 (5)	Total Gov Rec vs Est FY 2012 (6)	Percent Change (7)
Human Services, Dept. of							
Assistance							
Family Investment Program/JOBS	\$ 31,040,373	\$ 50,171,027	\$ 25,085,513	\$ 26,495,648	\$ 51,581,161	\$ 1,410,134	2.8%
Medical Assistance	393,683,227	909,993,421	914,993,421	43,757,345	958,750,766	48,757,345	5.4%
State Supplementary Assistance	18,259,235	16,850,747	8,425,373	8,425,374	16,850,747	0	0.0%
State Children's Health Insurance	23,637,040	32,806,102	16,403,051	23,997,109	40,400,160	7,594,058	23.1%
Child Care Assistance	31,635,103	53,237,662	26,618,831	26,618,831	53,237,662	0	0.0%
Child and Family Services	77,865,550	82,830,163	41,415,081	38,526,014	79,941,095	-2,889,068	-3.5%
Adoption Subsidy	31,856,896	33,266,591	16,633,295	14,893,107	31,526,402	-1,740,189	-5.2%
Family Support Subsidy	1,167,998	1,167,998	583,999	512,785	1,096,784	-71,214	-6.1%
Connors Training	33,622	33,622	16,811	16,811	33,622	0	0.0%
MI/MR/DD State Cases	11,295,207	12,169,482	6,084,741	6,084,741	12,169,482	0	0.0%
MH/DD Community Services	14,211,100	14,211,100	14,211,100	0	14,211,100	0	0.0%
Volunteers	84,660	84,660	42,330	42,330	84,660	0	0.0%
MH/DD Growth Factor	48,697,893	54,697,893	74,697,893	0	74,697,893	20,000,000	36.6%
Health Insurance Premium Payment	347,964	0	0	0	0	0	--
Medical Contracts	8,917,277	0	5,453,728	3,006,952	8,460,680	8,460,680	--
Medical Assistance, Hawk-i, Hawk-i Expansion	10,049,532	0	0	0	0	0	--
State Mental Health Systems	0	0	0	314,047	314,047	314,047	--
Mental Health Redesign	0	0	0	17,750,000	17,750,000	17,750,000	--
Total Assistance	\$ 702,782,677	\$ 1,261,520,468	\$ 1,150,665,167	\$ 210,441,094	\$ 1,361,106,261	\$ 99,585,793	7.9%
Toledo Juvenile Home							
Toledo Juvenile Home	\$ 7,032,006	\$ 8,258,251	\$ 4,129,125	\$ 4,199,139	\$ 8,328,264	\$ 70,013	0.8%
Licensed Classroom Teachers	91,150	0	0	0	0	0	--
Total Toledo Juvenile Home	\$ 7,123,156	\$ 8,258,251	\$ 4,129,125	\$ 4,199,139	\$ 8,328,264	\$ 70,013	0.8%
Eldora Training School							
Eldora Training School	\$ 9,911,234	\$ 10,638,677	\$ 5,319,338	\$ 5,421,650	\$ 10,740,988	\$ 102,311	1.0%
Cherokee							
Cherokee MHI	\$ 3,587,101	\$ 5,877,308	\$ 2,938,654	\$ 2,702,383	\$ 5,641,037	\$ -236,271	-4.0%
Clarinda							
Clarinda MHI	\$ 6,016,968	\$ 6,411,734	\$ 3,205,867	\$ 3,257,470	\$ 6,463,337	\$ 51,603	0.8%
Independence							
Independence MHI	\$ 8,432,195	\$ 10,275,685	\$ 5,137,842	\$ 4,666,370	\$ 9,804,212	\$ -471,473	-4.6%

Health and Human Services

General Fund

	Actual FY 2011 (1)	Estimated FY 2012 (2)	Enacted FY 2013 (3)	Gov Rec Adjust. FY 2013 (4)	Total Gov Rec FY 2013 (5)	Total Gov Rec vs Est FY 2012 (6)	Percent Change (7)
Mt Pleasant							
Mt Pleasant MHI	\$ 647,004	\$ 944,323	\$ 472,161	\$ 472,162	\$ 944,323	\$ 0	0.0%
Glenwood							
Glenwood Resource Center	\$ 13,686,515	\$ 18,507,801	\$ 9,253,900	\$ 9,838,676	\$ 19,092,576	\$ 584,775	3.2%
Woodward							
Woodward Resource Center	\$ 8,534,431	\$ 12,785,658	\$ 6,392,829	\$ 6,783,264	\$ 13,176,093	\$ 390,435	3.1%
Cherokee CCUSO							
Civil Commitment Unit for Sexual Offenders	\$ 6,419,692	\$ 7,550,727	\$ 3,775,363	\$ 4,638,305	\$ 8,413,668	\$ 862,941	11.4%
Field Operations							
Child Support Recoveries	\$ 10,892,103	\$ 13,119,255	\$ 6,559,627	\$ 6,818,366	\$ 13,377,993	\$ 258,738	2.0%
Field Operations	46,253,980	54,789,921	27,394,960	34,920,480	62,315,440	7,525,519	13.7%
Total Field Operations	<u>\$ 57,146,083</u>	<u>\$ 67,909,176</u>	<u>\$ 33,954,587</u>	<u>\$ 41,738,846</u>	<u>\$ 75,693,433</u>	<u>\$ 7,784,257</u>	<u>11.5%</u>
General Administration							
General Administration	\$ 14,607,992	\$ 14,596,745	\$ 7,298,372	\$ 8,323,470	\$ 15,621,842	\$ 1,025,097	7.0%
Council on Human Investment	250,000	0	0	0	0	0	--
Total General Administration	<u>\$ 14,857,992</u>	<u>\$ 14,596,745</u>	<u>\$ 7,298,372</u>	<u>\$ 8,323,470</u>	<u>\$ 15,621,842</u>	<u>\$ 1,025,097</u>	<u>7.0%</u>
Total Human Services, Dept. of	<u>\$ 839,145,048</u>	<u>\$ 1,425,276,553</u>	<u>\$ 1,232,543,205</u>	<u>\$ 302,482,829</u>	<u>\$ 1,535,026,034</u>	<u>\$ 109,749,481</u>	<u>7.7%</u>
<u>Veterans Affairs, Dept. of</u>							
Veterans Affairs, Department of							
General Administration	\$ 928,104	\$ 998,832	\$ 499,416	\$ 511,416	\$ 1,010,832	\$ 12,000	1.2%
War Orphans Educational Assistance	12,416	12,416	6,208	6,208	12,416	0	0.0%
Vets Home Ownership Program	0	0	0	1,600,000	1,600,000	1,600,000	--
Injured Veterans Grant Program	1,000,000	0	0	0	0	0	--
Veterans County Grants	900,000	990,000	495,000	495,000	990,000	0	0.0%
Total Veterans Affairs, Department of	<u>\$ 2,840,520</u>	<u>\$ 2,001,248</u>	<u>\$ 1,000,624</u>	<u>\$ 2,612,624</u>	<u>\$ 3,613,248</u>	<u>\$ 1,612,000</u>	<u>80.5%</u>
Veterans Affairs, Dept. of							
Iowa Veterans Home	\$ 8,935,022	\$ 8,952,151	\$ 4,476,075	\$ 4,476,076	\$ 8,952,151	\$ 0	0.0%
Total Veterans Affairs, Dept. of	<u>\$ 11,775,542</u>	<u>\$ 10,953,399</u>	<u>\$ 5,476,699</u>	<u>\$ 7,088,700</u>	<u>\$ 12,565,399</u>	<u>\$ 1,612,000</u>	<u>14.7%</u>
Total Health and Human Services	<u>\$ 907,763,017</u>	<u>\$ 1,493,379,363</u>	<u>\$ 1,266,544,608</u>	<u>\$ 338,196,236</u>	<u>\$ 1,604,740,844</u>	<u>\$ 111,361,481</u>	<u>7.5%</u>

Appendix B

Other Fund Tracking

Health and Human Services

Other Fund

	Actual FY 2011 (1)	Estimated FY 2012 (2)	Enacted FY 2013 (3)	Gov Rec Adjust. FY 2013 (4)	Total Gov Rec FY 2013 (5)	Total Gov Rec vs Est FY 2012 (6)	Percent Change (7)
<u>Aging, Dept. on</u>							
Aging, Dept. on							
Elder Affairs Operations - SLTF	\$ 8,486,698	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	--
Total Aging, Dept. on	\$ 8,486,698	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	--
<u>Public Health, Dept. of</u>							
Public Health, Dept. of							
Medical Home Advisory Council - HCTA	\$ 0	\$ 0	\$ 116,679	\$ -116,679	\$ 0	\$ 0	--
E-Health - HCTA	0	0	181,993	-181,993	0	0	--
Public Health - UST	785,000	0	0	0	0	0	--
Total Public Health, Dept. of	\$ 785,000	\$ 0	\$ 298,672	\$ -298,672	\$ 0	\$ 0	--
<u>Human Services, Dept. of</u>							
General Administration							
FIP-TANF	\$ 0	\$ 0	\$ 10,750,369	\$ -10,750,369	\$ 0	\$ 0	--
Promise Jobs-TANF	0	0	6,205,764	-6,205,764	0	0	--
FaDDS-TANF	0	0	1,449,490	-1,449,490	0	0	--
Field Operations-TANF	0	0	15,648,116	-15,648,116	0	0	--
General Administration-TANF	0	0	1,872,000	-1,872,000	0	0	--
State Day Care-TANF	0	0	8,191,344	-8,191,344	0	0	--
MH/DD Comm. Services-TANF	0	0	2,447,026	-2,447,026	0	0	--
Child & Family Services-TANF	0	0	16,042,215	-16,042,215	0	0	--
Child Abuse Prevention-TANF	0	0	62,500	-62,500	0	0	--
Training & Technology-TANF	0	0	518,593	-518,593	0	0	--
0-5 Children-TANF	0	0	3,175,000	-3,175,000	0	0	--
Total General Administration	\$ 0	\$ 0	\$ 66,362,417	\$ -66,362,417	\$ 0	\$ 0	--

Health and Human Services

Other Fund

	Actual FY 2011	Estimated FY 2012	Enacted FY 2013	Gov Rec Adjust. FY 2013	Total Gov Rec FY 2013	Total Gov Rec vs Est FY 2012	Percent Change
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Assistance							
Pregnancy Prevention-TANF	\$ 0	\$ 0	\$ 965,034	\$ -965,034	\$ 0	\$ 0	--
Promoting Healthy Marriage - TANF	0	0	73,036	-73,036	0	0	--
Medical Assistance - HCTF	106,916,532	106,363,275	106,363,275	-316,875	106,046,400	-316,875	-0.3%
Medical Contracts-Pharm Settlement - PhSA	4,027,613	10,907,457	2,716,807	0	2,716,807	-8,190,650	-75.1%
Broadlawns Hospital - ICA	51,000,000	65,000,000	65,000,000	4,000,000	69,000,000	4,000,000	6.2%
Regional Provider Network - ICA	6,000,000	3,472,176	3,472,176	1,514,190	4,986,366	1,514,190	43.6%
Care Coordination - ICA	0	0	500,000	-500,000	0	0	--
Radiology and Lab - ICA	0	0	1,500,000	-1,500,000	0	0	--
Nonparticipating Providers - NPPR	2,000,000	2,000,000	2,000,000	0	2,000,000	0	0.0%
Medical Information Hotline - HCTA	100,000	100,000	50,000	50,000	100,000	0	0.0%
Health Partnership Activities - HCTA	600,000	600,000	300,000	300,000	600,000	0	0.0%
Audits, Performance Eval., Studies - HCTA	125,000	125,000	62,500	62,500	125,000	0	0.0%
IowaCare Admin. Costs - HCTA	1,131,511	1,132,412	566,206	566,206	1,132,412	0	0.0%
Dental Home for Children - HCTA	1,000,000	1,000,000	500,000	500,000	1,000,000	0	0.0%
MH/DD Workforce Development - HCTA	50,000	50,000	25,000	25,000	50,000	0	0.0%
Medical Contracts - HCTA	1,300,000	2,000,000	1,000,000	1,400,000	2,400,000	400,000	20.0%
Broadlawns Admin - HCTA	290,000	290,000	145,000	145,000	290,000	0	0.0%
Uniform Cost Report - HCTA	0	0	75,000	-75,000	0	0	--
Electronic Med Records Infra - HCTA	0	0	50,000	-50,000	0	0	--
Health Care Access Council - HCTA	0	0	67,107	-67,107	0	0	--
Accountable Care Pilot - HCTA	0	0	50,000	-50,000	0	0	--
Provider Payment System - HCTA	0	0	100,000	-100,000	0	0	--
Medical Assistance Sup. - HCTA	0	0	1,956,245	-1,956,245	0	0	--
Medical Assistance - QATF	33,708,458	29,000,000	29,000,000	-2,500,000	26,500,000	-2,500,000	-8.6%
Medical Assistance-HHCA	39,406,000	39,223,800	39,223,800	-5,325,400	33,898,400	-5,325,400	-13.6%
Nonparticipating Provider Reimb Fund-HHCA	594,000	776,200	776,200	25,400	801,600	25,400	3.3%
Medical Examinations-Expansion Pop - HCTA	556,800	0	0	0	0	0	--
Electronic Medical Records - HCTA	0	100,000	0	100,000	100,000	0	0.0%
Medical Assistance - HCTA	0	1,956,245	0	1,956,245	1,956,245	0	0.0%
Medicaid Supplemental - SLTF	40,437,372	0	0	0	0	0	--
Medical Assistance - CRF	187,800,000	0	0	0	0	0	--
Child and Family Services-Shelter Care - CRF	500,000	0	0	0	0	0	--
Child and Family Services - UST	925,000	0	0	0	0	0	--
Child and Family Ser.-Restore Rate Reduction - L	1,000,000	0	0	0	0	0	--
Family Support Subsidy - UST	100,000	0	0	0	0	0	--
Child Support Recovery - UST	250,000	0	0	0	0	0	--
Juvenile Institutions - UST	600,000	0	0	0	0	0	--
Mental Health Institutes - UST	350,000	0	0	0	0	0	--
MI/MR/DD State Cases - UST	1,000,000	0	0	0	0	0	--

Health and Human Services

Other Fund

	Actual FY 2011 (1)	Estimated FY 2012 (2)	Enacted FY 2013 (3)	Gov Rec Adjust. FY 2013 (4)	Total Gov Rec FY 2013 (5)	Total Gov Rec vs Est FY 2012 (6)	Percent Change (7)
Sexually Violent Predators - UST	800,000	0	0	0	0	0	--
Field Operations - UST	2,340,000	0	0	0	0	0	--
Medicaid Supplemental - MFA	0	0	2,000,000	-2,000,000	0	0	--
Care Coordination - ICA	0	500,000	0	500,000	500,000	0	0.0%
Lab Test & Radiology Pool - ICA	0	1,500,000	0	1,500,000	1,500,000	0	0.0%
Uniform Cost Report - HCTA	0	150,000	0	150,000	150,000	0	0.0%
DPH Transfer Health Care Access Council - HCT	0	134,214	0	134,214	134,214	0	0.0%
Accountable Care Pilot - HCTA	0	100,000	0	100,000	100,000	0	0.0%
DPH Transfer e-Health - HCTA	0	363,987	0	363,987	363,987	0	0.0%
DPH Transfer Medical Home - HCTA	0	233,357	0	233,357	233,357	0	0.0%
FY11 Mental Health Waiting List - PTRF	10,000,000	0	0	0	0	0	--
Medicaid Supplemental - Medicaid Fraud	0	0	0	2,000,000	2,000,000	2,000,000	--
Total Assistance	\$ 494,908,286	\$ 267,078,123	\$ 258,537,386	\$ 147,402	\$ 258,684,788	\$ -8,393,335	-3.1%
Total Human Services, Dept. of	\$ 494,908,286	\$ 267,078,123	\$ 324,899,803	\$ -66,215,015	\$ 258,684,788	\$ -8,393,335	-3.1%
<u>Regents, Board of</u>							
Regents, Board of							
UI - UIHC IowaCares Program - ICA	\$ 27,284,584	\$ 27,284,584	\$ 27,284,584	\$ 0	\$ 27,284,584	\$ 0	0.0%
UI - UIHC IowaCares Expansion Pop - ICA	49,020,131	44,226,279	44,226,279	1,427,854	45,654,133	1,427,854	3.2%
UI - UIHC IowaCares Physicians - ICA	14,000,000	16,277,753	16,277,753	0	16,277,753	0	0.0%
Total Regents, Board of	\$ 90,304,715	\$ 87,788,616	\$ 87,788,616	\$ 1,427,854	\$ 89,216,470	\$ 1,427,854	1.6%
<u>Veterans Affairs, Dept. of</u>							
Veterans Affairs, Department of							
County Veterans Grant Assist - MMBF	\$ 90,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	--
Total Veterans Affairs, Dept. of	\$ 90,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	--
<u>Iowa Finance Authority</u>							
Iowa Finance Authority							
Rent Subsidy Program - SLTF	\$ 700,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	--
Total Iowa Finance Authority	\$ 700,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	--
Total Health and Human Services	\$ 595,274,699	\$ 354,866,739	\$ 412,987,091	\$ -65,085,833	\$ 347,901,258	\$ -6,965,481	-2.0%

Appendix C

FTE Positions Tracking

Explanation of FTE Position Data

The following is an explanation of the Full-Time Equivalent (FTE) information provided on the following tables. The columns of FTE data represent different points in time that the numbers were compiled. For additional information on the State's FTE positions, see the following LSA report entitled, State of Iowa FY 2011 FTE positions and Personnel Costs:

<https://www.legis.iowa.gov/DOCS/LSA/IssReview/2012/IRDLR000.PDF>

Final Action FY 2011: This information represents the number of FTEs that were appropriated in session law during the 2010 Legislative Session.

Actual FY 2011: This data represents the actual FTE utilization calculated at the close of the fiscal year. The FTE usage is calculated by taking the actual hours worked during the fiscal year and dividing the number by 2,080 hours. For example, if a department has budgeted a full-time position (equating to 1.0 FTE) and this position is vacant for six months of the fiscal year, at the close of the fiscal year, the calculation of the actual FTE would be 0.5 ($1,040 \div 2,080$). The calculation of the actual FTE factors out the portion of the FTE that was vacant during the fiscal year.

Actual FY 11 vs. Final Act FY 11: This shows the difference between the estimates being used at the close of the 2010 Legislative Session and the actual FTE utilization calculated at the close of the fiscal year.

Final Action FY 2012: This information represents the number of FTEs that were appropriated in session law during the 2011 Legislative Session.

Estimated FY 2012: This data represents the estimated FTEs that were budgeted by the departments on or around the beginning of FY 2012 and incorporates any revisions that would have been made to the budget by the departments through (approximately) December of 2011. Changes to the estimates can occur for a variety of reasons. For example, if departments are not provided funding for salary adjustment to cover the costs of funding collective bargaining contracts, the departments will often reduce the number of FTEs in order to cover costs.

Est. FY 12 vs. Final Act FY 12: This column shows the difference between the estimates provided at the beginning of FY 2012 and the FTE positions enacted during the 2011 Legislative Session.

Gov. Rec. FY 2013: This is the Governor's recommendations for FY 2013.

Gov. Rec. vs. Est. FY 12: Represents the difference between the Governor's recommended FTEs and the most recent estimates for FY 2012.

Health and Human Services

FTE

	Final Action FY 2011	Actual FY 2011	Actual FY 11 vs Final FY 11	Final Action FY 2012	Estimated FY 2012	Est FY 12 vs Final Act FY 12	Gov Rec FY 2013	Gov Rev vs Est FY 2012
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
<u>Aging, Dept. on</u>								
Aging, Dept. on								
Aging Programs	36.00	32.40	-3.60	35.00	32.00	-3.00	35.00	3.00
Total Aging, Dept. on	36.00	32.40	-3.60	35.00	32.00	-3.00	35.00	3.00
<u>Public Health, Dept. of</u>								
Public Health, Dept. of								
Addictive Disorders	18.00	9.68	-8.32	13.00	11.00	-2.00	13.00	2.00
Healthy Children and Families	14.00	9.35	-4.65	10.00	10.00	0.00	10.00	0.00
Chronic Conditions	4.10	2.91	-1.19	4.00	4.00	0.00	2.45	-1.55
Community Capacity	21.00	12.49	-8.51	14.00	14.00	0.00	12.00	-2.00
Environmental Hazards	4.50	3.52	-0.98	4.00	4.00	0.00	4.00	0.00
Infectious Diseases	5.00	2.36	-2.64	4.00	4.00	0.00	4.00	0.00
Public Protection	130.00	121.64	-8.36	125.00	128.00	3.00	127.00	-1.00
Resource Management	10.00	5.39	-4.61	7.00	7.00	0.00	7.00	0.00
Addictive Disorders - HCTF	2.50	0.00	-2.50	0.00	0.00	0.00	0.00	0.00
Healthy Children and Families - HCTF	0.25	0.00	-0.25	0.00	0.00	0.00	0.00	0.00
Chronic Conditions - HCTF	0.40	0.00	-0.40	0.00	0.00	0.00	0.00	0.00
Community Capacity - HCTF	1.85	0.00	-1.85	0.00	0.00	0.00	0.00	0.00
Total Public Health, Dept. of	211.60	167.33	-44.27	181.00	182.00	1.00	179.45	-2.55
<u>Human Services, Dept. of</u>								
Assistance								
Family Investment Program/JOBS	12.00	11.62	-0.38	10.00	11.00	1.00	9.00	-2.00
Child Care Assistance	1.00	2.01	1.01	0.00	0.00	0.00	0.00	0.00
Health Insurance Premium Payment	19.00	10.83	-8.17	0.00	0.00	0.00	0.00	0.00
Medical Contracts	6.00	1.54	-4.46	2.00	0.00	-2.00	0.00	0.00
Total Assistance	38.00	25.99	-12.01	12.00	11.00	-1.00	9.00	-2.00
Toledo Juvenile Home								
Toledo Juvenile Home	125.00	102.16	-22.84	114.00	114.00	0.00	114.00	0.00

Health and Human Services

FTE

	Final Action FY 2011	Actual FY 2011	Actual FY 11 vs Final FY 11	Final Action FY 2012	Estimated FY 2012	Est FY 12 vs Final Act FY 12	Gov Rec FY 2013	Gov Rev vs Est FY 2012
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
Eldora Training School								
Eldora Training School	202.70	163.30	-39.40	164.30	164.30	0.00	164.30	0.00
Cherokee								
Cherokee MHI	205.06	152.89	-52.18	168.50	168.50	0.00	168.50	0.00
Clarinda								
Clarinda MHI	114.95	89.37	-25.58	86.10	86.10	0.00	86.10	0.00
Independence								
Independence MHI	287.85	233.52	-54.33	233.00	233.00	0.00	233.00	0.00
Mt Pleasant								
Mt Pleasant MHI	116.44	92.06	-24.38	91.72	91.72	0.00	97.72	6.00
Glenwood								
Glenwood Resource Center	947.24	872.80	-74.44	905.85	878.90	-26.95	878.90	0.00
Total Glenwood	<u>947.24</u>	<u>872.80</u>	<u>-74.44</u>	<u>905.85</u>	<u>878.90</u>	<u>-26.95</u>	<u>878.90</u>	<u>0.00</u>
Woodward								
Woodward Resource Center	737.16	721.15	-16.01	745.92	724.67	-21.25	713.07	-11.60
Total Woodward	<u>737.16</u>	<u>721.15</u>	<u>-16.01</u>	<u>745.92</u>	<u>724.67</u>	<u>-21.25</u>	<u>713.07</u>	<u>-11.60</u>
Cherokee CCUSO								
Civil Commitment Unit for Sexual Offenders	105.50	83.42	-22.08	89.50	89.50	0.00	95.90	6.40
Field Operations								
Child Support Recoveries	520.00	454.31	-65.69	475.00	465.00	-10.00	467.00	2.00
Field Operations	2,000.13	1,679.61	-320.52	1,781.00	1,688.00	-93.00	1,745.00	57.00
Total Field Operations	<u>2,520.13</u>	<u>2,133.93</u>	<u>-386.20</u>	<u>2,256.00</u>	<u>2,153.00</u>	<u>-103.00</u>	<u>2,212.00</u>	<u>59.00</u>

Health and Human Services

FTE

	Final Action FY 2011	Actual FY 2011	Actual FY 11 vs Final FY 11	Final Action FY 2012	Estimated FY 2012	Est FY 12 vs Final Act FY 12	Gov Rec FY 2013	Gov Rev vs Est FY 2012
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
General Administration								
General Administration	354.33	269.33	-85.00	290.00	265.04	-24.96	288.00	22.96
Total General Administration	354.33	269.33	-85.00	290.00	265.04	-24.96	288.00	22.96
Total Human Services, Dept. of	5,754.36	4,939.94	-814.42	5,156.89	4,979.73	-177.16	5,060.49	80.76
<u>Veterans Affairs, Dept. of</u>								
Veterans Affairs, Department of								
General Administration	15.20	12.97	-2.23	16.34	15.00	-1.34	15.00	0.00
Total Veterans Affairs, Department of	15.20	12.97	-2.23	16.34	15.00	-1.34	15.00	0.00
Veterans Affairs, Dept. of								
Iowa Veterans Home	949.27	814.10	-135.17	863.86	834.17	-29.69	849.25	15.08
Total Veterans Affairs, Dept. of	949.27	814.10	-135.17	863.86	834.17	-29.69	849.25	15.08
Total Veterans Affairs, Dept. of	964.47	827.07	-137.40	880.20	849.17	-31.03	864.25	15.08
Total Health and Human Services	6,966.43	5,966.74	-999.69	6,253.09	6,042.90	-210.19	6,139.19	96.29

Appendix D

Sample of Budget Schedule 1 and 6

Schedule 6 Example

The Schedule 6 shows all of the resources for a particular budget unit, including appropriations, federal funds, etc.

STATE OF IOWA Fiscal Year 2013 Annual Budget SPECIAL DEPARTMENT: (660) Natural Resources, Department of Budget Unit: (5420G720001) GF-Natural Resources Operations Schedule 6					Department name & budget unit
Resources	Fiscal Year 2011 Actual	Fiscal Year 2012 Estimated	Fiscal Year 2013 Department Request	Fiscal Year 2013 Governor's Recomm	Fiscal Year
Appropriations					Appropriation
Appropriation	\$ 15,600,710	\$ 12,266,688	\$ 6,133,344	\$ 6,333,344	
Change	-112,535	0	0	0	
FY11 \$83.7M Reductions	-2,152,106	0	0	0	
Previously Enacted Appropriation	0	0	6,133,344	6,133,344	
	13,336,069	12,266,688	12,266,688	12,466,688	
Receipts					Budget unit receipts
Federal Support	23,050,229	26,982,389	26,982,389	26,982,389	
Intra State Receipts	76,973,737	83,070,509	83,054,509	83,054,509	
Reimbursement from Other Agencies	78,612	0	0	0	
Fees, Licenses & Permits	100	0	0	0	
Refunds & Reimbursements	2,747,206	1,555,195	1,571,195	1,571,195	
Other Sales & Services	2,696	3,500	3,500	3,500	
Unearned Receipts	287,190	0	0	0	
Other	1,531	0	0	0	
	103,141,301	111,611,593	111,611,593	111,611,593	
Total Resources	\$ 116,477,370	\$ 123,878,281	\$ 123,878,281	\$ 124,078,281	
FTE	1,007.78	1,112.95	1,107.95	1,107.95	Full Time Equivalent (FTE) Positions
Disposition of Resources					Budget unit expenditures
Personal Services-Salaries	\$ 80,377,784	\$ 86,452,083	\$ 86,449,583	\$ 86,449,583	
Personal Travel In State	786,388	1,055,377	1,055,377	1,055,377	
State Vehicle Operation	2,356,924	2,138,844	2,138,844	2,138,844	
Depreciation	1,800,575	1,673,740	1,673,740	1,673,740	
Personal Travel Out of State	136,623	344,820	344,820	344,820	

A Schedule 6 provides a detailed budget for all appropriated accounts or Funds under the control of the Department. Receipts includes the appropriation, the salary adjustment (if applicable), across-the-board reductions, supplemental appropriations, intra-state receipts from other agencies, receipts from local governments, and other receipts such as fees. Expenditures include all expenses related to the operating budget, such as salary, travel, contracts, etc. Expenditures also include the reversion or balance brought forward.

Budget schedules are available at: <https://www.legis.iowa.gov/LSAReports/relateddocSchedules.aspx>

Schedule 1 Example

The Schedule 1 shows the “decision packages” used by the Executive Branch to arrive at the Department’s annual budget request (dollars and FTE positions) and the Governor’s recommendations for a particular budget unit.

STATE OF IOWA					
Fiscal Year 2013 Annual Budget					
SPECIAL DEPARTMENT: (660) Natural Resources, Department of					
Budget Unit: (5420G720001) GF-Natural Resources Operations					
Schedule 1					
Rank	Description	Funding Source	Fiscal Year 2013 Department Request	Fiscal Year 2013 Governor's Recommendations	
Base	Maintain essential services associated with natural resource protection, recreation and preservation.	Prev Approp FTE	6,133,344 1,107.95	6,133,344 1,107.95	Department name & budget unit
0001	Restoration to 100% FY 12 Level	Appropriation	6,133,344	6,133,344	Fiscal Year
0002	To replace funding diverted to the Watershed Improvement Fund to provide assistance to the animal agricultural community.	Appropriation	0	200,000	Base budget and Full Time Equivalent Positions (FTEs)
					Adjustments to base budget
Total Budget Unit Funding		Fiscal Year 2012 Estimated	Fiscal Year 2013 Department Request	Fiscal Year 2013 Governor's Recommendations	
Previously Enacted Appropriation		\$ 0	\$ 6,133,344	\$ 6,133,344	Total appropriation and FTEs
Appropriation		12,266,688	6,133,344	6,333,344	
Total Appropriations		\$ 12,266,688	\$ 12,266,688	\$ 12,466,688	
Total FTE		1,112.95	1,107.95	1,107.95	

A Schedule 1 provides a summary of funding available for a Department. The Schedule shows both General Fund and total funds plus FTE positions. The Schedule shows, by priority, any changes to current law (or budget).

Appendix E

FY 2011 Year-End Appropriations

FY 2011 Appropriation Activity

The following information provides a summary of the FY 2011 General Fund and non-General Fund appropriations for the departments under the purview of the Administration and Regulation Appropriations Subcommittee. Appropriations are adjusted for several factors throughout the fiscal year, including supplemental appropriations, deappropriations, and adjustments to standing appropriations to account for actual expenditures. Other activity associated with appropriated funds include: balances brought forward, transfers, and reversions. The tables show each of the departments' appropriations and the changes that occurred throughout the fiscal year. The changes include:

- Original Appropriation: The amounts appropriated from the General Fund in individual appropriation bills during the 2010 Legislative Session.
- \$83.7M Reduction: This was a mandatory General Fund reduction included in SF 2531 (FY 2011 Standing Appropriation Act) that was allocated by the Department of Management across all Executive Branch operational appropriations in January 2011. The reductions excluding the Board of Regents.
- Adjustments to Standings: These adjustments represent changes that are made to budgeted standing unlimited appropriations for the purpose of balancing out the year-end amount. There are numerous standing unlimited appropriations established in the Code. The exact amount for each of these appropriations is not known until the close of the fiscal year. As the General Assembly develops the budget, an estimated amount is included for budgeting purposes. This estimated appropriation is then adjusted to reflect actual expenditures.
- HF 45 Reduction: House File 45 (FY 2011 Appropriation Adjustments Act) included a provision that restricted the amount that State agencies could spend from their FY 2011 appropriations for: Office Supplies, Equipment, Printing and Binding, and Marketing. This requirement reduced agencies appropriations.
- Supplemental/Deappropriations: These changes represent the supplemental appropriations and deappropriations enacted in several bills during the 2011 Legislative Session.
- Total Net Appropriation: This is the sum of all of the above numbers and represents the final appropriation amount after the above legislative changes were applied.
- Balance Brought Forward: Appropriated funds allowed to carry forward from FY 2010 to FY 2011. These funds provided additional spendable dollars for FY 2011.
- Appropriation Transfers In and Out: These adjustments represent transferred appropriation spending authority between enacted appropriations. Most of the transfers were made by the Governor through the authority of Iowa Code section 8.39. There were a limited number of transfers enacted in session law during the 2011 Legislative Session. There were no transfers between non-General Fund appropriations during FY 2011.

- Balance Carry Forward: Appropriated funds that are allowed to carry forward from FY 2011 to FY 2012. Provides additional spendable dollars for FY 2012.
- Reversions: These are the unspent appropriated funds that revert back to the fund from which they were appropriated.
- Total Appropriation Expended: This number represents the appropriation after all of the above adjustments have been made. The result is the total appropriated funds that were expended in FY 2011.

GENERAL FUND APPROPRIATIONS

FY 2011 General Fund Appropriation Activity Department of Human Services												
Appropriation Name	Original Approp	\$83.7M Reductions	HF 45 Reduction	Supp/ Deapprop	Adjust to Standings	Net Approp	Bal Forw ard from FY 2010	Transfers In	Transfers Ou	Bal Forw ard to FY 2012	Reversions	Approp Expended
General Administration	\$ 16,602,271	\$ -1,955,526	\$ -38,753	\$ 0	\$ 0	\$ 14,607,992	\$ 0	\$ 0	\$ 0	\$ -805,309	\$ 0	\$ 13,802,683
Mental Health Redesign	0	0	0	250,000	0	250,000	0	0	0	-250,000	0	0
Field Operations	56,207,624	-9,903,099	-50,545	0	0	46,253,980	0	0	0	-1,157,598	0	45,096,382
Child Support Recoveries	11,877,414	-977,850	-7,461	0	0	10,892,103	0	0	0	0	-4,862	10,887,241
Toledo Juvenile Home	7,777,599	-735,682	-9,911	0	0	7,032,006	0	0	0	-41,763	-41,763	6,948,480
Licensed Classroom Teachers	103,950	-12,800	0	0	0	91,150	0	0	0	0	0	91,150
Eldora Training School	11,001,062	-1,085,866	-3,962	0	0	9,911,234	0	0	0	-1,813	-1,813	9,907,608
Civil Commi.T. Unit for Sexual Offenders	6,632,660	-207,529	-5,439	0	0	6,419,692	0	0	0	-2,045	-2,045	6,415,602
Cherokee MHI	5,221,979	-2,419,485	0	784,607	0	3,587,101	0	0	0	-61,400	-1,400	3,524,301
Clarinda MHI	6,139,698	-746,523	0	623,793	0	6,016,968	0	0	0	-11,499	-11,499	5,993,970
Independence MHI	9,590,653	-2,394,374	0	1,235,916	0	8,432,195	0	400,000	0	0	0	8,832,195
Mt Pleasant MHI	1,613,175	-966,146	-25	0	0	647,004	28,161	0	0	-52,915	-13,364	608,886
Glenw ood Resource Center	14,982,839	-1,235,753	-60,571	0	0	13,686,515	414,840	0	0	-500,000	0	13,601,355
Woodw ard Resource Center	9,312,271	-773,805	-4,035	0	0	8,534,431	480,187	0	-400,000	-413,331	0	8,201,287
MHI Property Tax Relief	81,199,911	0	0	0	0	81,199,911	0	0	0	0	0	81,199,911
Child Abuse Prevention	217,772	0	0	0	0	217,772	217,772	0	0	-217,772	0	217,772
Family Investment Program/JOBS	31,735,539	-689,005	-6,161	0	0	31,040,373	0	0	0	0	-9,983	31,030,390
State Supplementary Assistance	18,259,235	0	0	0	0	18,259,235	1,408,488	0	0	-3,007,910	0	16,659,813
Medical Assistance	393,683,227	0	0	0	0	393,683,227	57,523,302	0	0	-27,700,401	0	423,506,128
Children's Health Insurance	23,637,040	0	0	0	0	23,637,040	0	0	0	0	0	23,637,040
Health Insurance Premium Payment	457,210	-108,199	-1,047	0	0	347,964	0	0	0	0	0	347,964
Medical Contracts	9,683,668	-721,863	-44,528	0	0	8,917,277	0	0	0	0	-275,000	8,642,277
MH/DD Grow th Factor	48,697,893	0	0	0	0	48,697,893	0	0	0	0	0	48,697,893
MH/DD Community Services	14,211,100	0	0	0	0	14,211,100	0	0	0	0	0	14,211,100
Family Support Subsidy	1,167,998	0	0	0	0	1,167,998	94,166	0	0	-120,929	0	1,141,235
Connors Training	33,622	0	0	0	0	33,622	0	0	0	0	-11	33,611
Mental Health SF209	0	0	0	20,000,000	0	20,000,000	0	0	0	0	0	20,000,000
Volunteers	84,660	0	0	0	0	84,660	0	0	0	0	-19,905	64,755
Medicaid, Haw k-i, Haw k-i Expansion	10,049,532	0	0	0	0	10,049,532	0	0	0	0	0	10,049,532
Child Care Assistance	32,325,964	-688,302	-2,559	0	0	31,635,103	4,730,935	0	0	0	0	36,366,038
M/MR/DD State Cases	11,295,207	0	0	0	0	11,295,207	1,091,123	0	0	-1,659,766	0	10,726,564
Adoption Subsidy	31,856,896	0	0	0	0	31,856,896	0	0	0	-3,233,468	0	28,623,428
Child and Family Services	79,593,023	-1,727,473	0	0	0	77,865,550	0	0	0	0	-657,095	77,208,455
Total	\$ 945,252,692	\$ -27,349,280	\$ -234,997	\$ 22,894,316	\$ 0	\$ 940,562,731	\$ 65,988,974	\$ 400,000	\$ -400,000	\$ -39,237,919	\$ -1,038,740	\$ 966,275,046

GENERAL FUND APPROPRIATIONS

FY 2011 General Fund Appropriation Activity Department of Human Services													
Standing Appropriations	Original Approp	\$83.7M Reductions	HF 45 Reduction	Supp/ Deapprop	Adjust to Standings	Net Approp	Bal Forw ard from FY 2010	Transfers In	Transfers Out	Bal Forw ard to FY 2012	Reversions	Approp Expended	
Commission Of Inquiry	\$ 1,394	\$ 0	\$ 0	\$ 0	\$ -1,394	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	0
Non Residents Transfers	67	0	0	0	-67	0	0	0	0	0	0	0	0
Non Resident Commitment M.III	142,802	0	0	0	-139,451	3,351	0	0	0	0	0	0	3,351
Total Standing Appropriations	\$ 144,263	\$ 0	\$ 0	\$ 0	\$ -140,912	\$ 3,351	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	3,351
Total Appropriations	\$ 945,396,955	\$ -27,349,280	\$ -234,997	\$ 22,894,316	\$ -140,912	\$ 940,566,082	\$ 65,988,974	\$ 400,000	\$ -400,000	\$ -39,237,919	\$ -1,038,740	\$ 966,278,397	

FY 2011 General Fund Appropriation Activity Department of Public Health													
Appropriation Name	Original Approp	\$83.7M Reductions	HF 45 Reduction	Supp/ Deapprop	Adjust to Standings	Net Approp	Bal Forw ard from FY 2010	Transfers In	Transfers Out	Bal Forw ard to FY 2012	Reversions	Approp Expended	
Addictive Disorders	\$28,974,840	\$-2,259,683	\$ 0	\$ 675,896	\$ 0	\$27,391,053	\$ 413,987	\$ 0	\$ 0	\$ 0	\$ -791,324	\$ 27,013,716	
Healthy Children and Families	2,735,062	-194,844	0	68,192	0	2,608,410	0	0	0	0	-23,417	2,584,993	
Chronic Conditions	3,597,313	-272,765	0	0	0	3,324,548	0	0	0	0	0	3,324,548	
Community Capacity	5,503,037	-457,205	0	13,275	0	5,059,107	0	0	0	0	-90,961	4,968,146	
Elderly Wellness	8,045,779	-644,873	0	403,500	0	7,804,406	0	0	0	0	-29,893	7,774,513	
Environmental Hazards	900,352	-65,886	-539	0	0	833,927	0	0	0	0	-5,548	828,379	
Infectious Diseases	1,475,095	-95,031	0	51,688	0	1,431,752	0	0	0	0	-271	1,431,481	
Public Protection	3,287,987	-142,740	-19,211	0	0	3,126,036	0	0	0	0	-1	3,126,035	
Resource Management	956,265	-84,399	0	0	0	871,866	0	0	0	0	0	871,866	
Total	\$55,475,730	\$-4,217,426	\$ -19,750	\$ 1,212,551	\$ 0	\$52,451,105	\$ 413,987	\$ 0	\$ 0	\$ 0	\$ -941,415	\$ 51,923,677	
Standing Appropriations													
IA Reg. for Congenital & Inherited Dis.	\$ 182,044	\$ 0	\$ 0	\$ 0	\$ 0	\$ 182,044	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 182,044	
Total Standing Appropriations	\$ 182,044	\$ 0	\$ 0	\$ 0	\$ 0	\$ 182,044	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 182,044	
Total Appropriations	\$55,657,774	\$-4,217,426	\$ -19,750	\$ 1,212,551	\$ 0	\$52,633,149	\$ 413,987	\$ 0	\$ 0	\$ 0	\$ -941,415	\$ 52,105,721	

GENERAL FUND APPROPRIATIONS

FY 2011 General Fund Appropriation Activity												
Department on Aging												
Appropriation Name	Original Approp	\$83.7M Reductions	HF 45 Reduction	Supp/ Deapprop	Adjust to Standings	Net Approp	Bal Forw ard from FY 2010	Transfers In	Transfers Out	Bal Forw ard to FY 2012	Reversions	Approp Expended
Aging Programs	\$ 4,662,988	\$ -267,674	\$ -3,992	\$ 0	\$ 0	\$ 4,391,322	\$ 0	\$ 0	\$ 0	\$ -9,593	\$ -9,593	\$ 4,372,136
Total	\$ 4,662,988	\$ -267,674	\$ -3,992	\$ 0	\$ 0	\$ 4,391,322	\$ 0	\$ 0	\$ 0	\$ -9,593	\$ -9,593	\$ 4,372,136

FY 2011 General Fund Appropriation Activity												
Department of Veterans Affairs												
Appropriation Name	Original Approp	\$83.7M Reductions	HF 45 Reduction	Supp/ Deapprop	Adjust to Standings	Net Approp	Bal Forw ard from FY 2010	Transfers In	Transfers Out	Bal Forw ard to FY 2012	Reversions	Approp Expended
General Administration	\$ 960,453	\$ -30,845	\$ -1,504	\$ 0	\$ 0	\$ 928,104	\$ 0	\$ 0	\$ 0	\$ -3,103	\$ -3,103	\$ 921,898
War Orphans Educational Assistan	12,731	-315	0	0	0	12,416	91,916	0	0	-104,332	0	0
Injured Veterans Grant Program	0	0	0	1,000,000	0	1,000,000	888,305	0	0	-1,006,350	0	881,955
Veterans County Grants	900,000	0	0	0	0	900,000	0	0	0	-118,008	0	781,992
Total	\$ 1,873,184	\$ -31,160	\$ -1,504	\$ 1,000,000	\$ 0	\$ 2,840,520	\$ 980,221	\$ 0	\$ 0	\$ -1,231,793	\$ -3,103	\$ 2,585,845

FY 2011 General Fund Appropriation Activity												
Iowa Veterans Home												
Appropriation Name	Original Approp	\$83.7M Reductions	HF 45 Reduction	Supp/ Deapprop	Adjust to Standings	Net Approp	Bal Forw ard from FY 2010	Transfers In	Transfers Out	Bal Forw ard to FY 2012	Reversions	Approp Expended
General Administration	\$ 9,630,846	\$ -678,695	\$ -17,129	\$ 0	\$ 0	\$ 8,935,022	\$ 1,731,213	\$ 0	\$ 0	\$ -2,947,911	\$ 0	\$ 7,718,323
Total	\$ 9,630,846	\$ -678,695	\$ -17,129	\$ 0	\$ 0	\$ 8,935,022	\$ 1,731,213	\$ 0	\$ 0	\$ -2,947,911	\$ 0	\$ 7,718,323

OTHER FUNDS APPROPRIATIONS

FY 2011 Other Funds Appropriation Activity											
Department of Human Services											
Appropriation Name	Funding Source	Original Approp	HF 45 Reduction	Supp/ Deapprop	Adjust to Standings	Net Approp	Bal Forward from FY 2010	Bal Forward to FY 2012	Reversions	Approp Expended	
FY11 Mental Health Waiting List	MH Property Tax Relief Fund	\$ 10,000,000	\$ 0	\$ 0	\$ 0	\$ 10,000,000	\$ 0	\$ -10,000,000	\$ 0	\$ 0	
SLT Medical Supplemental	Senior Living Trust Fund	39,080,435	0	0	1,356,937	40,437,372	0	0	0	\$ 40,437,372	
Medical Assistance - Cash Reserve	Cash Reserve Fund	187,800,000	0	0	0	187,800,000	0	-3,227,605	0	\$ 184,572,395	
Child and Family Services - Shelter Care Cash Re	Cash Reserve Fund	500,000	0	0	0	500,000	0	0	0	\$ 500,000	
Medical Contracts Supplement	Pharmaceutical Settlement	4,027,613	0	0	0	4,027,613	0	0	-1,027,613	\$ 3,000,000	
Medical Examinations-Expansion Population	Health Care Transformation Fund	556,800	0	0	0	556,800	0	0	-558,196	\$ -1,396	
Medical Information Hotline	Health Care Transformation Fund	100,000	0	0	0	100,000	0	0	-35,602	\$ 64,398	
Health Partnership Activities	Health Care Transformation Fund	600,000	0	0	0	600,000	0	0	-472,575	\$ 127,425	
Audits, Performance Evaluations, Studies	Health Care Transformation Fund	125,000	0	0	0	125,000	0	0	-46,259	\$ 78,741	
low aCare Administrative Costs	Health Care Transformation Fund	1,132,412	-901	0	0	1,131,511	0	0	0	\$ 1,131,511	
Dental Home for Children	Health Care Transformation Fund	1,000,000	0	0	0	1,000,000	0	0	-91,886	\$ 908,114	
Tuition Assistance for Individuals Serving People	Health Care Transformation Fund	50,000	0	0	0	50,000	0	0	-38,050	\$ 11,950	
Broadlaw ns Admin-HCTA	Health Care Transformation Fund	290,000	0	0	0	290,000	0	0	0	\$ 290,000	
Medical Contracts-HCTA	Health Care Transformation Fund	1,300,000	0	0	0	1,300,000	0	0	-1,300,000	\$ 0	
Medical Assistance Supplemental-Hospital Care A	Hospital Health Care Access Trust	39,406,000	0	0	0	39,406,000	0	0	-8,369,328	\$ 31,036,672	
For Deposit In Nonparticipating Provider Reimb	Fur Hospital Health Care Access Trust	594,000	0	0	0	594,000	0	0	0	\$ 594,000	
Child and Family Services - ICPUSTF (0450)	UST Unassign Revenue (Nonbond)	925,000	0	0	0	925,000	0	0	0	\$ 925,000	
Child and Family Services - Restore Rate Reductio	UST Unassign Revenue (Nonbond)	1,000,000	0	0	0	1,000,000	0	0	0	\$ 1,000,000	
Family Support Subsidy - ICPUSTF (0450)	UST Unassign Revenue (Nonbond)	100,000	0	0	0	100,000	0	0	0	\$ 100,000	
Child Support Recovery - ICPUSTF (0450)	UST Unassign Revenue (Nonbond)	250,000	0	0	0	250,000	0	0	0	\$ 250,000	
Juvenile Institutions - ICPUSTF (0450)	UST Unassign Revenue (Nonbond)	600,000	0	0	0	600,000	0	0	0	\$ 600,000	
Mental Health Institutes - ICPUSTF (0450)	UST Unassign Revenue (Nonbond)	350,000	0	0	0	350,000	0	0	0	\$ 350,000	
M/MR/DD State Cases ICPUSTF (0450)	UST Unassign Revenue (Nonbond)	1,000,000	0	0	0	1,000,000	0	0	0	\$ 1,000,000	
Sexually Violent Predators - ICPUSTF (0450)	UST Unassign Revenue (Nonbond)	800,000	0	0	0	800,000	0	0	0	\$ 800,000	
Field Operations - ICPUSTF (0450)	UST Unassign Revenue (Nonbond)	2,340,000	0	0	0	2,340,000	0	0	0	\$ 2,340,000	
Broadlaw ns Hospital	low aCare Fund	51,000,000	0	0	0	51,000,000	0	0	-4,729,547	\$ 46,270,453	
Regional Provider Netw ork - low a Care Fund (050)	low aCare Fund	6,000,000	0	0	0	6,000,000	0	0	-5,123,253	\$ 876,747	
Medical Assistance - HCTF	Health Care Trust	106,916,532	0	0	0	106,916,532	0	0	-316,875	\$ 106,599,657	
Medical Assistance Supplemental-Quality Assura	Quality Assurance Trust Fund	13,900,000	0	0	19,808,458	33,708,458	0	0	-1,249,736	\$ 32,458,722	
Nonparticipating Providers - NPPR (006M)	Nonparticipating Provider Reimbursement Fund	2,000,000	0	0	0	2,000,000	0	0	-1,759,777	\$ 240,223	
Total		\$ 473,743,792	\$ -901	\$ 0	\$ 21,165,395	\$ 494,908,286	\$ 0	\$ -13,227,605	\$ -25,118,697	\$ 456,561,984	

OTHER FUNDS APPROPRIATIONS

FY 2011 Other Funds Appropriation Activity

Department of Public Health

		Original	HF 45	Supp/	Adjust	Net	Bal Forw ard	Bal Forw ard		Approp
Appropriation Name	Funding Source	Approp	Reduction	Deapprop	to Standings	Approp	from FY 2010 to FY 2012	Reversions		Expended
Public Health - USTF	UST Unassign Revenue (Nonbond)	\$ 785,000	\$ 0	\$ 0	\$ 0	\$ 785,000	\$ 0	\$ 0	\$ -2,250	\$ 782,750
Total		\$ 785,000	\$ 0	\$ 0	\$ 0	\$ 785,000	\$ 0	\$ 0	\$ -2,250	\$ 782,750

FY 2011 Other Funds Appropriation Activity

Department on Aging

Appropriation Name	Funding Source	Original Approp	HF 45 Reduction	Supp/ Deapprop	Adjust to Standings	Net Approp	Bal Forw ard from FY 2010 to FY 2012	Bal Forw ard Reversions	Approp Expended
Senior Living Trust	Senior Living Trust Fund	\$ 8,486,698	\$ 0	\$ 0	\$ 0	\$ 8,486,698	\$ 73,835	\$ 0	\$ -77,335 \$ 8,483,198
Total		\$ 8,486,698	\$ 0	\$ 0	\$ 0	\$ 8,486,698	\$ 73,835	\$ 0	\$ -77,335 \$ 8,483,198

FY 2011 Other Funds Appropriation Activity

Department of Veterans Affairs

Appropriation Name	Funding Source	Original Approp	HF 45 Reduction	Supp/ Deapprop	Adjust to Standings	Net Approp	Bal Forw ard from FY 2010 to FY 2012	Bal Forw ard Reversions	Approp Expended
County Veterans Grant Assistance-MMBF	Merchant Marine Bonus Fund	\$ 90,000	\$ 0	\$ 0	\$ 0	\$ 90,000	\$ 0	\$ 0	\$ 90,000
VT-Vietnam Veterans Bonus	low a Veterans Trust Fund	0	0	0	0	0	262,677	0	-245,357
Total		\$ 90,000	\$ 0	\$ 0	\$ 0	\$ 90,000	\$ 262,677	\$ 0	-245,357
									\$ 107,321

FY 2011 Other Funds Appropriation Activity

Board of Regents

Appropriation Name	Funding Source	Original Approp	HF 45 Reduction	Supp/ Deapprop	Adjust to Standings	Net Approp	Bal Forw ard from FY 2010 to FY 2012	Bal Forw ard Reversions	Approp Expended
SUI - UIHC low aCares Program	low aCare Fund	\$27,284,584	\$ 0	\$ 0	\$ 0	\$27,284,584	\$ 0	\$ 0	\$ 27,284,584
SUI - UIHC low aCares Expansion Population	low aCare Fund	49,020,131	0	0	0	49,020,131	0	0	-7,783,304 41,236,827
SUI - UIHC low aCares Physicians	low aCare Fund	14,000,000	0	0	0	14,000,000	0	0	0 14,000,000
Total		\$90,304,715	\$ 0	\$ 0	\$ 0	\$90,304,715	\$ 0	\$ 0	-7,783,304 \$82,521,411

Appendix F

Health and Human Services Spreadsheet

Health and Human Services

General Fund

	Estimated FY 2011 (1)	Estimated FY 2012 (2)	Dept. Req. FY 2013 (3)	Gov Rec FY 2013 (4)	FY 2013 Gov vs. Est. FY 2012 (5)
<u>Aging, Dept. on</u>					
Aging Programs	\$ 4,391,322	\$ 10,302,577	\$ 5,151,288	\$ 5,151,288	\$ -5,151,289
Restoration to 100% of FY 2012 level	0	0	5,151,289	5,151,289	5,151,289
Total Aging Programs	<u>\$ 4,391,322</u>	<u>\$ 10,302,577</u>	<u>\$ 10,302,577</u>	<u>\$ 10,302,577</u>	<u>\$ 0</u>
 Total Aging, Dept. on	 <u>\$ 4,391,322</u>	 <u>\$ 10,302,577</u>	 <u>\$ 10,302,577</u>	 <u>\$ 10,302,577</u>	 <u>\$ 0</u>
 <u>Public Health, Dept. of</u>					
Addictive Disorders	\$ 27,391,053	\$ 23,503,190	\$ 11,751,595	\$ 11,751,595	\$ -11,751,595
Restoration to 100% of FY 2012 level	0	0	11,751,595	11,751,595	11,751,595
Total Addictive Disorders	<u>\$ 27,391,053</u>	<u>\$ 23,503,190</u>	<u>\$ 23,503,190</u>	<u>\$ 23,503,190</u>	<u>\$ 0</u>
 Healthy Children and Families	\$ 2,608,410	\$ 2,594,270	\$ 1,297,135	\$ 1,297,135	\$ -1,297,135
Restoration to 100% of FY 2012 level	0	0	1,297,135	1,297,135	1,297,135
Total Healthy Children and Families	<u>\$ 2,608,410</u>	<u>\$ 2,594,270</u>	<u>\$ 2,594,270</u>	<u>\$ 2,594,270</u>	<u>\$ 0</u>
 Chronic Conditions	\$ 3,324,548	\$ 3,361,656	\$ 1,680,828	\$ 1,680,828	\$ -1,680,828
Restoration to 100% of FY 2012 level	0	0	1,680,828	1,680,828	1,680,828
Move Prev. and Chronic Care Manag. Advisory Council from HCTA	0	0	109,288	0	0
Move Medical Home Advisory Council from HCTA	0	0	124,069	0	0
Total Chronic Conditions	<u>\$ 3,324,548</u>	<u>\$ 3,361,656</u>	<u>\$ 3,595,013</u>	<u>\$ 3,361,656</u>	<u>\$ 0</u>
 Community Capacity	\$ 5,059,107	\$ 4,235,166	\$ 2,117,583	\$ 2,117,583	\$ -2,117,583
Restoration to 100% of FY 2012 level	0	0	2,117,583	2,117,583	2,117,583
Move Health IT funding from HCTA to General Fund	0	0	363,987	0	0
Move Health and Long Term Access from HCTA to General Fund	0	0	134,214	0	0
Governors Council on Physical Fitness and Nutrition	0	0	25,000	0	0
Total Community Capacity	<u>\$ 5,059,107</u>	<u>\$ 4,235,166</u>	<u>\$ 4,758,367</u>	<u>\$ 4,235,166</u>	<u>\$ 0</u>
 Healthy Aging	\$ 7,804,406	\$ 7,297,142	\$ 3,648,571	\$ 3,648,571	\$ -3,648,571
Restoration to 100% of FY 2012 level	0	0	3,648,571	3,648,571	3,648,571
Total Healthy Aging	<u>\$ 7,804,406</u>	<u>\$ 7,297,142</u>	<u>\$ 7,297,142</u>	<u>\$ 7,297,142</u>	<u>\$ 0</u>

Health and Human Services

General Fund

	Estimated FY 2011 (1)	Estimated FY 2012 (2)	Dept. Req. FY 2013 (3)	Gov Rec FY 2013 (4)	FY 2013 Gov vs. Est. FY 2012 (5)
Environmental Hazards	\$ 833,927	\$ 813,777	\$ 406,888	\$ 406,888	\$ -406,889
Restoration to 100% of FY 2012 level	0	0	406,889	406,889	406,889
Total Environmental Hazards	<u>\$ 833,927</u>	<u>\$ 813,777</u>	<u>\$ 813,777</u>	<u>\$ 813,777</u>	<u>\$ 0</u>
Infectious Diseases	\$ 1,431,752	\$ 1,345,847	\$ 672,923	\$ 672,923	\$ -672,924
Restoration to 100% of FY 2012 level	0	0	672,924	672,924	672,924
Total Infectious Diseases	<u>\$ 1,431,752</u>	<u>\$ 1,345,847</u>	<u>\$ 1,345,847</u>	<u>\$ 1,345,847</u>	<u>\$ 0</u>
Public Protection	\$ 3,126,036	\$ 2,776,232	\$ 1,388,116	\$ 1,388,116	\$ -1,388,116
Restoration to 100% of FY 2012 level	0	0	1,388,116	1,388,116	1,388,116
Total Public Protection	<u>\$ 3,126,036</u>	<u>\$ 2,776,232</u>	<u>\$ 2,776,232</u>	<u>\$ 2,776,232</u>	<u>\$ 0</u>
Resource Management	\$ 871,866	\$ 819,554	\$ 409,777	\$ 409,777	\$ -409,777
Restoration to 100% of FY 2012 level	0	0	409,777	409,777	409,777
Total Resource Management	<u>\$ 871,866</u>	<u>\$ 819,554</u>	<u>\$ 819,554</u>	<u>\$ 819,554</u>	<u>\$ 0</u>
Vision Screening	<u>\$ 0</u>	<u>\$ 100,000</u>	<u>\$ 100,000</u>	<u>\$ 100,000</u>	<u>\$ 0</u>
Total Public Health, Dept. of	<u>\$ 52,451,105</u>	<u>\$ 46,846,834</u>	<u>\$ 47,603,392</u>	<u>\$ 46,846,834</u>	<u>\$ 0</u>
Human Services, Dept. of					
Family Investment Program/JOBS	\$ 31,040,373	\$ 50,171,027	\$ 25,085,513	\$ 25,085,513	\$ -25,085,514
Restoration to 100% of FY 2012 level	0	0	25,085,514	25,085,514	25,085,514
Replace TANF Carryforward	0	0	3,770,578	3,770,578	3,770,578
Reduction in FIP Caseload	0	0	-2,239,372	-2,239,372	-2,239,372
TANF Carryforward Reducing GF Need	0	0	-1,939,133	0	0
Reduced Child Support Collections Revenue	0	0	256,789	0	0
Increased Promise Jobs Need	0	0	186,074	0	0
Increased Cost in Food Assistance Admin	0	0	69,136	0	0
Reduction in Promise Jobs Recoveries	0	0	17,000	0	0
Food Assistance Postage Savings	0	0	-121,072	-121,072	-121,072
Total Family Investment Program/JOBS	<u>\$ 31,040,373</u>	<u>\$ 50,171,027</u>	<u>\$ 50,171,027</u>	<u>\$ 51,581,161</u>	<u>\$ 1,410,134</u>

Health and Human Services

General Fund

	Estimated FY 2011 (1)	Estimated FY 2012 (2)	Dept. Req. FY 2013 (3)	Gov Rec FY 2013 (4)	FY 2013 Gov vs. Est. FY 2012 (5)
State Supplementary Assistance	\$ 18,259,235	\$ 16,850,747	\$ 8,425,373	\$ 8,425,373	\$ -8,425,374
Restoration to 100% of FY 2012 level	0	0	8,425,374	8,425,374	8,425,374
Reduction Due To Available Carryforward	0	0	-1,400,000	0	0
Total State Supplementary Assistance	<u>\$ 18,259,235</u>	<u>\$ 16,850,747</u>	<u>\$ 15,450,747</u>	<u>\$ 16,850,747</u>	<u>\$ 0</u>
Child Support Recoveries	\$ 10,892,103	\$ 13,119,255	\$ 6,559,627	\$ 6,559,627	\$ -6,559,628
Restoration to 100% of FY 2012 level	0	0	6,559,628	6,559,628	6,559,628
Increased Costs of Service	0	0	258,738	258,738	258,738
Total Child Support Recoveries	<u>\$ 10,892,103</u>	<u>\$ 13,119,255</u>	<u>\$ 13,377,993</u>	<u>\$ 13,377,993</u>	<u>\$ 258,738</u>
Medical Assistance	\$ 393,683,227	\$ 909,993,421	\$ 914,993,421	\$ 914,993,421	\$ 5,000,000
Growth above FY 2012 Including FMAP and adjustments	0	0	95,040,207	75,049,572	75,049,572
Local Yield Management Cost Containment Initiative	0	0	0	-1,560,000	-1,560,000
Hospital Medicare Crossover Claims Cost Containment Initiative	0	0	0	-9,009,000	-9,009,000
Medical Home Cost Containment Initiative	0	0	0	-4,900,000	-4,900,000
Pharmacy AWP to Average Acquisition Cost Conversion	0	0	0	-1,911,000	-1,911,000
Physician Brand Name Drug Reimbursement Changes	0	0	0	-85,000	-85,000
Medicare Part B Disallowance	0	0	0	-97,500	-97,500
Estate Recover Cost Containment Initiative	0	0	0	-780,000	-780,000
Hospital Readmission Policy Change Cost Containment Initiative	0	0	0	-253,500	-253,500
PMIC Ancillary Cost Reversal	0	0	0	-2,094,472	-2,094,472
Mental Health Risk Pool CF Transfer	0	0	0	-5,015,292	-5,015,292
FY 2012 Medicaid Carryforward	0	0	0	-3,500,000	-3,500,000
Additional CHIPRA Bonus CF from FY 2012	0	0	0	-1,086,463	-1,086,463
IowaCare FY 2012 Carryforward	0	0	0	-1,000,000	-1,000,000
Total Medical Assistance	<u>\$ 393,683,227</u>	<u>\$ 909,993,421</u>	<u>\$ 1,010,033,628</u>	<u>\$ 958,750,766</u>	<u>\$ 48,757,345</u>

Health and Human Services

General Fund

	Estimated FY 2011 (1)	Estimated FY 2012 (2)	Dept. Req. FY 2013 (3)	Gov Rec FY 2013 (4)	FY 2013 Gov vs. Est. FY 2012 (5)
Medical Contracts	\$ 8,917,277	\$ 0	\$ 8,190,650	\$ 8,190,650	\$ 8,190,650
Contract, Operational, and IT Cost Increases	0	0	387,839	387,839	387,839
NevadaCare Lawsuit	0	0	-5,000	-5,000	-5,000
IME Reprocurement Technical Assistance	0	0	-53,565	-53,565	-53,565
Administration of HER Incentive Program	0	0	64,378	64,378	64,378
Operation and Delivery Inflation Costs	0	0	21,878	21,878	21,878
Increased Rental Space Costs	0	0	254,500	254,500	254,500
Uniform Cost Report Project from HCTA	0	0	150,000	0	0
Transfer to Health Care Transformation Account	0	0	0	-400,000	-400,000
Total Medical Contracts	\$ 8,917,277	\$ 0	\$ 9,010,680	\$ 8,460,680	\$ 8,460,680
State Children's Health Insurance	\$ 23,637,040	\$ 32,806,102	\$ 16,403,051	\$ 16,403,051	\$ -16,403,051
Restoration to 100% of FY 2012 level	0	0	16,403,051	16,403,051	16,403,051
Maintain Current Enrollment Level	0	0	6,470,990	0	0
Projected Growth	0	0	1,569,858	0	0
FMAP Change	0	0	1,173,421	0	0
CHIP/Hawk-I Growth - including FMAP change	0	0	0	7,594,058	7,594,058
Total State Children's Health Insurance	\$ 23,637,040	\$ 32,806,102	\$ 42,020,371	\$ 40,400,160	\$ 7,594,058
Child Care Assistance	\$ 31,635,103	\$ 53,237,662	\$ 26,618,831	\$ 26,618,831	\$ -26,618,831
Restoration to 100% of FY 2012 level	0	0	26,618,831	26,618,831	26,618,831
Carryforward Replacement	0	0	3,206,802	0	0
Fund FY 2012 Shortfall in FY 2013	0	0	1,304,298	0	0
FY 2013 Caseload Growth	0	0	2,179,126	0	0
Eliminate Transfer from CFS	0	0	3,696,285	3,696,285	3,696,285
Replace Reduced Federal Funds	0	0	503,929	0	0
Reduced CCDF Available Due to Earmarks	0	0	127,205	0	0
Required Record Checks	0	0	38,000	0	0
Increased CCDF Funds Available	0	0	-57,132	0	0
Decline in Need for Wraparound Grant Funds	0	0	-147,000	0	0
Growth above FY 2012 level	0	0	0	4,272,736	4,272,736
Replace with CHIP contingency funding	0	0	0	-7,969,021	-7,969,021
Total Child Care Assistance	\$ 31,635,103	\$ 53,237,662	\$ 64,089,175	\$ 53,237,662	\$ 0

Health and Human Services

General Fund

	Estimated FY 2011 (1)	Estimated FY 2012 (2)	Dept. Req. FY 2013 (3)	Gov Rec FY 2013 (4)	FY 2013 Gov vs. Est. FY 2012 (5)
Toledo Juvenile Home	\$ 7,032,006	\$ 8,258,251	\$ 4,129,125	\$ 4,129,125	\$ -4,129,126
Restoration to 100% of FY 2012 level	0	0	4,129,126	4,129,126	4,129,126
Increased Pharm, Food, Trans. And Utilities	0	0	53,103	53,103	53,103
Increased Printing, Postage, IT, Workers Comp.	0	0	16,910	16,910	16,910
Total Toledo Juvenile Home	\$ 7,032,006	\$ 8,258,251	\$ 8,328,264	\$ 8,328,264	\$ 70,013
Eldora Training School	\$ 9,911,234	\$ 10,638,677	\$ 5,319,338	\$ 5,319,338	\$ -5,319,339
Restoration to 100% of FY 2012 level	0	0	5,319,339	5,319,339	5,319,339
Increased Pharm, Food, Trans. And Utilities	0	0	77,636	77,636	77,636
Increased Printing, Postage, IT, Workers Comp.	0	0	24,675	24,675	24,675
Total Eldora Training School	\$ 9,911,234	\$ 10,638,677	\$ 10,740,988	\$ 10,740,988	\$ 102,311
Child and Family Services	\$ 77,865,550	\$ 82,830,163	\$ 41,415,081	\$ 41,415,081	\$ -41,415,082
Restoration to 100% of FY 2012 level	0	0	41,415,081	41,415,081	41,415,081
FMAP Change	0	0	239,584	239,584	239,584
Transfer of Funds to Child Care (Protective Services)	0	0	-3,696,285	-3,696,285	-3,696,285
Maintain Attorney General Reimbursement and Staff	0	0	346,869	346,869	346,869
Child Welfare Staff Training	0	0	198,222	198,222	198,222
PALS Caseload Growth	0	0	22,543	22,543	22,543
Total Child and Family Services	\$ 77,865,550	\$ 82,830,163	\$ 79,941,095	\$ 79,941,095	\$ -2,889,068
Volunteers	\$ 84,660	\$ 84,660	\$ 42,330	\$ 42,330	\$ -42,330
Restoration to 100% of FY 2012 level	0	0	42,330	42,330	42,330
Total Volunteers	\$ 84,660	\$ 84,660	\$ 84,660	\$ 84,660	\$ 0
Adoption Subsidy	\$ 31,856,896	\$ 33,266,591	\$ 16,633,295	\$ 16,633,295	\$ -16,633,296
Restoration to 100% of FY 2012 level	0	0	16,633,296	16,633,296	16,633,296
Carryforward Replacement	0	0	371,871	-185,994	-185,994
Fund FY 2012 Shortfall in FY 2013	0	0	1,873,986	1,873,986	1,873,986
Fund FY 2013 Caseload Growth	0	0	680,251	680,251	680,251
FMAP Change	0	0	624,144	0	0
Growth over FY 2012 including FMAP	0	0	0	1,182,009	1,182,009
Replace with CHIP contingency funding	0	0	0	-5,290,441	-5,290,441
Total Adoption Subsidy	\$ 31,856,896	\$ 33,266,591	\$ 36,816,843	\$ 31,526,402	\$ -1,740,189

Health and Human Services

General Fund

	Estimated FY 2011 (1)	Estimated FY 2012 (2)	Dept. Req. FY 2013 (3)	Gov Rec FY 2013 (4)	FY 2013 Gov vs. Est. FY 2012 (5)
Family Support Subsidy	\$ 1,167,998	\$ 1,167,998	\$ 583,999	\$ 583,999	\$ -583,999
Restoration to 100% of FY 2012 level	0	0	583,999	583,999	583,999
Reduction Due to Children Aging Out	0	0	-71,214	-71,214	-71,214
Cost of Living Adjustments	0	0	12,285	0	0
Expand Children-at-Home to New Areas of the State	0	0	58,929	0	0
Total Family Support Subsidy	\$ 1,167,998	\$ 1,167,998	\$ 1,167,998	\$ 1,096,784	\$ -71,214
Cherokee MHI	\$ 3,587,101	\$ 5,877,308	\$ 2,938,654	\$ 2,938,654	\$ -2,938,654
Restoration to 100% of FY 2012 level	0	0	2,938,654	2,938,654	2,938,654
Increased Pharm, Food, Trans. And Utilities	0	0	102,270	102,270	102,270
Increased Printing, Postage, IT, Workers Comp.	0	0	30,280	30,280	30,280
Transfer of Funds to CCUSO	0	0	-368,821	-368,821	-368,821
Total Cherokee MHI	\$ 3,587,101	\$ 5,877,308	\$ 5,641,037	\$ 5,641,037	\$ -236,271
Clarinda MHI	\$ 6,016,968	\$ 6,411,734	\$ 3,205,867	\$ 3,205,867	\$ -3,205,867
Restoration to 100% of FY 2012 level	0	0	3,205,867	3,205,867	3,205,867
Increased Pharm, Food, Trans. And Utilities	0	0	40,130	40,130	40,130
Increased Printing, Postage, IT, Workers Comp.	0	0	11,473	11,473	11,473
Total Clarinda MHI	\$ 6,016,968	\$ 6,411,734	\$ 6,463,337	\$ 6,463,337	\$ 51,603
Independence MHI	\$ 8,432,195	\$ 10,275,685	\$ 5,137,842	\$ 5,137,842	\$ -5,137,843
Restoration to 100% of FY 2012 level	0	0	5,137,843	5,137,843	5,137,843
Increased Pharm, Food, Trans. And Utilities	0	0	92,561	92,561	92,561
Increased Printing, Postage, IT, Workers Comp.	0	0	23,883	23,883	23,883
FMAP Change	0	0	12,083	12,083	12,083
PMIC Federal Revenue Increase	0	0	0	-600,000	-600,000
Total Independence MHI	\$ 8,432,195	\$ 10,275,685	\$ 10,404,212	\$ 9,804,212	\$ -471,473
Mt Pleasant MHI	\$ 647,004	\$ 944,323	\$ 472,161	\$ 472,161	\$ -472,162
Restoration to 100% of FY 2012 level	0	0	472,162	472,162	472,162
Total Mt Pleasant MHI	\$ 647,004	\$ 944,323	\$ 944,323	\$ 944,323	\$ 0

Health and Human Services

General Fund

	Estimated FY 2011 (1)	Estimated FY 2012 (2)	Dept. Req. FY 2013 (3)	Gov Rec FY 2013 (4)	FY 2013 Gov vs. Est. FY 2012 (5)
Glenwood Resource Center	\$ 13,686,515	\$ 18,507,801	\$ 9,253,900	\$ 9,253,900	\$ -9,253,901
Restoration to 100% of FY 2012 level	0	0	9,253,900	9,253,900	9,253,900
FMAP Change	0	0	871,591	584,776	584,776
Glenwood Resource Center	<u>\$ 13,686,515</u>	<u>\$ 18,507,801</u>	<u>\$ 19,379,391</u>	<u>\$ 19,092,576</u>	<u>\$ 584,775</u>
Woodward Resource Center	\$ 8,534,431	\$ 12,785,658	\$ 6,392,829	\$ 6,392,829	\$ -6,392,829
Restoration to 100% of FY 2012 level	0	0	6,392,829	6,392,829	6,392,829
FMAP Change	0	0	588,371	390,435	390,435
Woodward Resource Center	<u>\$ 8,534,431</u>	<u>\$ 12,785,658</u>	<u>\$ 13,374,029</u>	<u>\$ 13,176,093</u>	<u>\$ 390,435</u>
Conners Training	\$ 33,622	\$ 33,622	\$ 16,811	\$ 16,811	\$ -16,811
Restoration to 100% of FY 2012 level	0	0	16,811	16,811	16,811
Total Conners Training	<u>\$ 33,622</u>	<u>\$ 33,622</u>	<u>\$ 33,622</u>	<u>\$ 33,622</u>	<u>\$ 0</u>
MH/DD Growth Factor	\$ 48,697,893	\$ 54,697,893	\$ 54,697,893	\$ 54,697,893	\$ 0
Replace funds from SF 209	0	0	20,000,000	20,000,000	20,000,000
Total MH/DD Growth Factor	<u>\$ 48,697,893</u>	<u>\$ 54,697,893</u>	<u>\$ 74,697,893</u>	<u>\$ 74,697,893</u>	<u>\$ 20,000,000</u>
Total MH/DD Community Services	<u>\$ 14,211,100</u>	<u>\$ 14,211,100</u>	<u>\$ 14,211,100</u>	<u>\$ 14,211,100</u>	<u>\$ 0</u>
MI/MR/DD State Cases	\$ 11,295,207	\$ 12,169,482	\$ 6,084,741	\$ 6,084,741	\$ -6,084,741
Restoration to 100% of FY 2012 level	0	0	6,084,741	6,084,741	6,084,741
Total MI/MR/DD State Cases	<u>\$ 11,295,207</u>	<u>\$ 12,169,482</u>	<u>\$ 12,169,482</u>	<u>\$ 12,169,482</u>	<u>\$ 0</u>
Mental Health Redesign	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Mental Health Redesign Medicaid Buyout	0	0	0	30,000,000	30,000,000
MH Redesign Savings - Medicaid Rebalancing	0	0	0	-11,250,000	-11,250,000
Mental Health Redesign Savings - Magellan recoupment	0	0	0	-1,000,000	-1,000,000
Total Mental Health Redesign	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 17,750,000</u>	<u>\$ 17,750,000</u>

Health and Human Services

General Fund

	Estimated FY 2011 (1)	Estimated FY 2012 (2)	Dept. Req. FY 2013 (3)	Gov Rec FY 2013 (4)	FY 2013 Gov vs. Est. FY 2012 (5)
State Mental Health System	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Replace SAMSHA Circle of Care federal dollars	0	0	236,100	236,100	236,100
Restore one-time Central Iowa System of Care Carryforward	0	0	77,947	77,947	77,947
MH/DS Redesign	0	0	0	0	0
Total State Mental Health System	\$ 0	\$ 0	\$ 314,047	\$ 314,047	\$ 314,047
Civil Commitment Unit for Sexual Offenders	\$ 6,419,692	\$ 7,550,727	\$ 3,775,363	\$ 3,775,363	\$ -3,775,364
Restoration to 100% of FY 2012 level	0	0	3,775,364	3,775,364	3,775,364
Increased Printing, Postage, IT, Workers Comp.	0	0	9,369	9,369	9,369
12 Additional Court-Ordered Offenders	0	0	484,751	484,751	484,751
Maintain Service at Projected FY 2012 Levels	0	0	368,821	368,821	368,821
Total Civil Commitment Unit for Sexual Offenders	\$ 6,419,692	\$ 7,550,727	\$ 8,413,668	\$ 8,413,668	\$ 862,941
General Administration	\$ 14,607,992	\$ 14,596,745	\$ 7,298,372	\$ 7,298,372	\$ -7,298,373
Restoration to 100% of FY 2012 level	0	0	7,298,373	7,298,373	7,298,373
Restore GA to FY 2011 Service Level (Funded w/CF)	0	0	550,000	550,000	550,000
Restore Remaining CF from FY 2011 to FY 2012	0	0	255,309	255,309	255,309
Increased DAS Services	0	0	219,788	219,788	219,788
Total General Administration	\$ 14,607,992	\$ 14,596,745	\$ 15,621,842	\$ 15,621,842	\$ 1,025,097
Field Operations	\$ 46,253,980	\$ 54,789,921	\$ 27,394,960	\$ 27,394,960	\$ -27,394,961
Restoration to 100% of FY 2012 level	0	0	27,394,961	27,394,961	27,394,961
Maintain Field Staff at FY 2012 Level	0	0	4,371,662	4,371,662	4,371,662
Maintain Case Per Worker at FY 2012 Level	0	0	3,153,857	3,153,857	3,153,857
Add Additional IM Staff to Reduce Case Per Worker	0	0	1,000,000	0	0
Create an Integrated Claims Recovery Unit	0	0	687,475	0	0
Total Field Operations	\$ 46,253,980	\$ 54,789,921	\$ 64,002,915	\$ 62,315,440	\$ 7,525,519
Licensed Classroom Teachers	91,150	0	0	0	0
Health Insurance Premium Payment	347,964	0	0	0	0
Medical Assistance, Hawk-i, Hawk-i Expansion	10,049,532	0	0	0	0
Council on Human Investment	250,000	0	0	0	0
Total Human Services, Dept. of	\$ 839,145,048	\$ 1,425,276,553	\$ 1,586,904,367	\$ 1,535,026,034	\$ 109,749,481

Health and Human Services

General Fund

	Estimated FY 2011 (1)	Estimated FY 2012 (2)	Dept. Req. FY 2013 (3)	Gov Rec FY 2013 (4)	FY 2013 Gov vs. Est. FY 2012 (5)
<u>Veterans Affairs, Dept. of</u>					
General Administration	\$ 928,104	\$ 998,832	\$ 499,416	\$ 499,416	\$ -499,416
Restoration to 100% of FY 2012 level	0	0	499,416	499,416	499,416
Veterans Affairs Administration	0	0	12,000	12,000	12,000
Total General Administration	<u>\$ 928,104</u>	<u>\$ 998,832</u>	<u>\$ 1,010,832</u>	<u>\$ 1,010,832</u>	<u>\$ 12,000</u>
War Orphans Educational Assistance	\$ 12,416	\$ 12,416	\$ 6,208	\$ 6,208	\$ -6,208
Restoration to 100% of FY 2012 level	0	0	6,208	6,208	6,208
Total War Orphans Educational Assistance	<u>\$ 12,416</u>	<u>\$ 12,416</u>	<u>\$ 12,416</u>	<u>\$ 12,416</u>	<u>\$ 0</u>
Total Vetrans Home Ownership Program	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 1,600,000</u>	<u>\$ 1,600,000</u>
Veterans County Grants	\$ 900,000	\$ 990,000	\$ 495,000	\$ 495,000	\$ -495,000
Restoration to 100% of FY 2012 level	0	0	495,000	495,000	495,000
Total Veterans County Grants	<u>\$ 900,000</u>	<u>\$ 990,000</u>	<u>\$ 990,000</u>	<u>\$ 990,000</u>	<u>\$ 0</u>
Injured Veterans Grant Program	1,000,000	0	0	0	0
Total Veterans Affairs, Dept. of	<u>\$ 2,840,520</u>	<u>\$ 2,001,248</u>	<u>\$ 2,013,248</u>	<u>\$ 3,613,248</u>	<u>\$ 1,612,000</u>
<u>Iowa Veterans Home</u>					
Iowa Veterans Home	\$ 8,935,022	\$ 8,952,151	\$ 4,476,075	\$ 4,476,075	\$ -4,476,076
Restoration to 100% of FY 2012 level	0	0	4,476,076	4,476,076	4,476,076
Total Iowa Veterans Home	<u>\$ 8,935,022</u>	<u>\$ 8,952,151</u>	<u>\$ 8,952,151</u>	<u>\$ 8,952,151</u>	<u>\$ 0</u>
Total Iowa Veterans Home	<u>\$ 8,935,022</u>	<u>\$ 8,952,151</u>	<u>\$ 8,952,151</u>	<u>\$ 8,952,151</u>	<u>\$ 0</u>
Total Health and Human Services	<u><u>\$ 907,763,017</u></u>	<u><u>\$ 1,493,379,363</u></u>	<u><u>\$ 1,655,775,735</u></u>	<u><u>\$ 1,604,740,844</u></u>	<u><u>\$ 111,361,481</u></u>

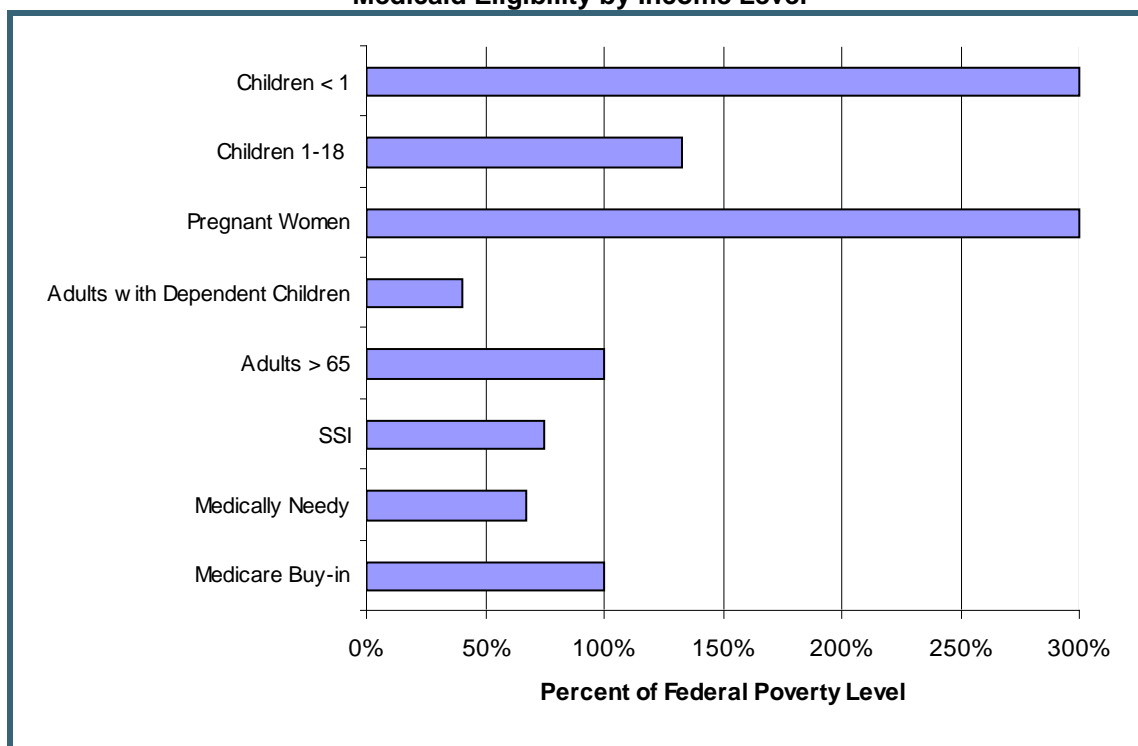
Appendix G

Medicaid

MEDICAL ASSISTANCE PROGRAM (MEDICAID)

Medicaid is funded jointly by State and federal funds to provide health care services to low-income persons that are elderly, blind, disabled, pregnant, under age 21, or members of families with dependent children. For State FY 2012 the federal share of the Medicaid Program is 61.19%.

Table 1
Medicaid Eligibility by Income Level



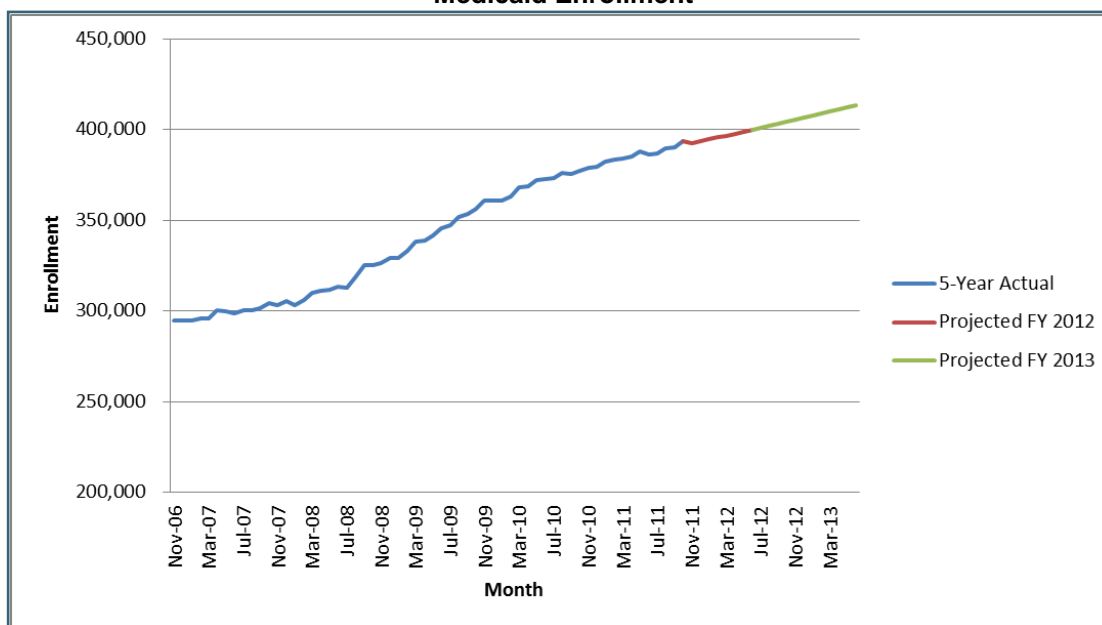
The Iowa Medicaid Program covers individuals at various levels of income as allowed under federal law. To be eligible for Medicaid, an individual must be categorically eligible. This means the individual must meet income requirements and qualify in a category to be eligible. **Table 1** shows the maximum income level for children, pregnant women, adults with dependent children, recipients of Supplemental Security Income, the Medicare Buy-in Program, and the Medically Needy Program. The income levels are based on the percentage of the Federal Poverty Level (FPL) calculated annually by the federal government and vary by the size of the household. The FPL for a family of four was \$22,350 for 2011.

In October 2011, 392,027 Iowans were enrolled in Medicaid. Of this total, 57.2% were children, 16.0% were adults with dependent children, 19.1% were disabled, and 7.7% were over age 65. The FY 2011 State expenditures totaled \$853.5 million. Of the total expenditures, 17.0% was for children, 16.0% was for adults with dependent children, 48.0% was for the disabled, and 19.0% was for adults over age 65. While children account for approximately half of the enrollment, they consume only 18.0% of Medicaid expenditures. Adults over age 65 and disabled individuals account for 27.1% of enrollment but utilize 67.0% of expenditures.

Enrollment

Table 2 shows the enrollment growth in Iowa over the past five years. There was negligible growth in FY 2007 as a result of additional citizenship requirements at the federal level. In FY 2008, the Program increased by 2.9%. In FY 2009 and FY 2010 with the poor economy, the Program grew by 8.2% and 9.4%, respectively. In FY 2011, growth slowed to 5.4%.

Table 2
Medicaid Enrollment



With slow economic recovery, enrollment is projected to continue to increase steadily in FY 2012 and FY 2013, but at a slower rate than the previous years. The LSA estimates Medicaid enrollment will increase by 3.5% in FY 2012 and 3.3% in FY 2013. The Program added a total of 13,735 individuals in FY 2011, including 8,704 children. In the first six months of FY 2012, the Program added an additional 6,353 individuals for total enrollment of 392,689.

Revenues and Expenditures

Table 3 shows actual expenditures for FY 2009 through FY 2011 and projected expenditures for FY 2012 and FY 2013. The four largest categories that drive costs in the Medicaid Program are hospitals (inpatient and outpatient), nursing homes, pharmaceuticals, and physician costs.

Table 3
State Medicaid Expenditures – All State Funds
(Dollars in Millions)

	State Actual	FMAP Savings	Adjusted State Total
FY 2009 Actual	\$ 907.7	\$ -114.0	\$ 793.7
FY 2010 Actual	942.8	-223.6	719.2
FY 2011 Actual	1,012.9	-187.1	825.8
FY 2012 Projected Need	1,119.0	0.0	1,119.0
FY 2013 Projected Need	1,190.3	0.0	1,190.3

Table 4 shows actual revenues and expenditures for FY 2011, projected revenues and expenditures for FY 2012, and the Governor's recommendation for FY 2013.

Table 4
Medicaid Balance Sheet

	<i>Final FY 2011</i>	<i>Estimated FY 2012</i>	<i>Gov's Rec FY 2013</i>
Medicaid Funding			
Carryforward from Previous Year	\$ 57,523,302	\$ 27,700,401	\$ 4,586,463
Veterans Home Transfer	0	2,447,911	0
Behavioral Health Account Carryforward	2,082,504	1,790,859	0
Senior Living Trust Fund	40,437,372	0	0
Transfer from Decat Waiver Waiting List	1,925,000	0	0
Cash Reserve Fund	178,693,397	0	0
Medicaid Fraud Account	0	0	2,000,000
Health Care Transformation Account	0	1,956,245	1,956,245
Health Care Trust Fund	106,599,657	105,725,232	106,046,400
Nursing Facility Quality Assurance Fund	32,458,722	26,500,000	26,500,000
Hospital Trust Fund	31,036,672	36,957,766	33,898,400
CHIPRA Contingency Fund	0	0	4,950,428
hawk-i Performance Bonus	6,760,901	10,517,268	7,860,256
Total Non-General Fund for Medicaid	\$ 457,517,526	\$ 213,595,682	\$ 187,798,192
General Fund Appropriation	\$ 393,683,227	\$ 909,993,421	\$ 958,750,766
Covering All Children	2,297,649	0	0
Total All General Fund Sources	\$ 395,980,876	\$ 909,993,421	\$ 958,750,766
Total Medicaid Funding	\$ 853,498,402	\$ 1,123,589,103	\$ 1,146,548,958
Total Estimated State Medicaid Need	\$ 1,011,653,932	\$ 1,119,002,640	\$ 1,190,254,722
Local Yield Management Cost Containment	0	0	-1,560,000
Medicare Crossover Claims Cost Containment	0	0	-9,009,000
Medical Home Cost Containment	0	0	-4,900,000
Pharm. AWP to Avg. Acquisition Cost Conversion	0	0	-1,911,000
Physc. Brand Name Drug Reimburse Changes	0	0	-85,000
Medicare Part B Disallowance	0	0	-97,500
Estate Recovery Cost Containment	0	0	-780,000
Hospital Readmission Pol. Cost Containment	0	0	-253,500
PMIC Ancillary Reversal	0	0	-2,094,472
MH Risk Pool CarryForward Transfer	0	0	-5,015,292
IowaCare FY 2012 Carryforward	0	0	-1,000,000
ARRA FMAP	-187,141,196	0	0
Behavioral Health Carryforward	1,285,265	0	0
Total Estimated Medicaid Need	\$ 825,798,001	\$ 1,119,002,640	\$ 1,163,548,958
Midpoint of Balance/(Under Funded)	\$ 27,700,401	\$ 4,586,463	\$ -17,000,000
ARRA - American Recovery and Reinvestment Act			

FY 2012 Range

A forecasting group consisting of staff from the Department of Management (DOM), the Department of Human Services (DHS), and the Fiscal Services Division of the LSA meets monthly to discuss current enrollment and expenditure data in the Medicaid Program and set a range for projected FY 2012 and FY 2013 expenditures. The forecasting group last met on December 22, 2011. For FY 2012, the group agreed

Medicaid will have a need of \$11.5 million to a surplus of \$18.5 million, with a midpoint of a \$3.5 million dollar surplus for FY 2012.

FY 2013 Governor's Recommendation

For FY 2013, the forecasting group agreed Medicaid will have a need of \$77.0 million to \$117.0 million, with a midpoint need of \$97.0 million for FY 2013. *Through a combination of cost containment strategies, savings and additional appropriations, the Governor is recommending funding Medicaid at \$17.0 million below the midpoint of the range agreed on by the forecasting group. The Governor is within the bottom end of the range by \$3.0 million for FY 2013. This includes an additional \$48.8 million from the General Fund, \$18.6 million in new cost containment strategies, \$8.1 million in other savings, and \$4.6 million in carryforward from FY 2012.*

General Fund changes include a net increase of \$48.8 million compared to estimated FY 2012, including:

- An increase of \$75.0 million due to growth and adjustments to the Federal Medical Assistance Percentage (FMAP) match rate.
- A decrease of \$1.6 million to reflect savings from a local yields management cost containment initiative. This change will allow the DHS to physically visit Iowa insurance providers that have been denying claims that Medicaid has paid. Staff will visit with providers regarding disputed denials, follow-up on a nonpayment or insufficient payment, and seek clarity about processes.
- A decrease of \$9.0 million to reflect savings from a hospital Medicare crossover claims cost containment initiative. By federal law, Medicaid is the payer of last resort. This change will realign payments to assure that the total sum of payments does not exceed the Medicaid rates.
- A decrease of \$4.9 million to reflect savings from a medical home cost containment initiative. Medicaid is developing a "Health Home" delivery and payment model for dual eligibles, chronically mentally ill, and disabled populations not enrolled in managed care.
- A decrease of \$1.9 million to reflect savings from changing the reimbursement methodology for pharmacies from Average Wholesale Price (AWP) to Average Acquisition Cost (AAC). The AWP is being phased out due to a class action lawsuit finding the practice to be a fraudulent methodology. The AAC is calculated using invoices from pharmacies.
- A decrease of \$85,000 to reflect savings from changes to physician brand name drug reimbursement. This will align reimbursement for drugs administered by a physician in their office with reimbursement for the same drug when dispensed by a pharmacy.
- A decrease of \$98,000 to reflect a Medicare Part B disallowance. Medicaid will review claims to identify any that the provider should have billed to Medicare rather than Medicaid. Currently, these reviews are only done on Medicare Part A.
- A decrease of \$780,000 to reflect savings from an estate recovery cost containment initiative. This will require beneficiaries applying for estate funds to affirm that the decedent has never been enrolled in Medicaid.
- A decrease of \$254,000 to reflect savings from a hospital readmission policy change cost containment initiative. Under this proposal, when a person is readmitted to the hospital within seven days of discharge, the services will be billed as one hospital stay rather than two.
- A decrease of \$2.1 million to reflect savings from a change in the way the federal government calculates psychiatric medical institutes for children ancillary costs.

- A decrease of \$5.0 million to reflect the transfer of funds from the Mental Health Risk Pool being transferred in to fund Medicaid.
- A decrease of \$3.5 million to reflect available carryforward from FY 2012 to FY 2013.
- A decrease of \$1.1 million to reflect available bonus funds from the Children's Health Insurance Program Reauthorization Act.
- A decrease of \$1.0 million to reflect \$1.0 million of available carryforward funds from the IowaCare Program.

FMAP Federal Fiscal Year 2013

The federal government released the final FMAP rates for Federal Fiscal Year (FFY) 2013. Iowa's FMAP rate was reduced by 1.12% compared to FFY 2012. The reduction is significant, but less than the 1.91% reduction the State received between FFY 2011 and FFY 2012. The Medicaid forecasting group has built in a \$36.4 million increase to account for the change in FMAP rates. The FMAP rate will also affect other programs that receive Medicaid match such as IowaCare and the State Resource Centers, as well as hawk-i (hawk-i receives an enhanced match rate).

The FMAP is based on a rolling three-year average of per capita income for each state and is produced by the U.S. Department of Commerce's Bureau of Economic Analysis. The formula is designed to provide states that have lower per capita income compared to the U.S. as a whole with a greater share of financial assistance. The statute contains both minimum and maximum percentages so no state will have to pay for more than 50.0% of the cost and the federal government will not pay for more than 83.0% of the cost. **Table 5** shows a five-year snapshot of Iowa's blended FMAP rate. The rate is blended over two federal fiscal years to account for the federal fiscal year starting in October as opposed to the July start for the State.

Table 5
FY 2009 – FY 2013 Regular FMAP Rate

State Fiscal Year	Federal Share	State Share	Federal % Change
FY 2009	62.40%	37.60%	0.60%
FY 2010	63.29%	36.71%	0.89%
FY 2011	62.85%	37.15%	-0.44%
FY 2012	61.19%	38.81%	-1.66%
FY 2013	59.87%	40.13%	-1.32%

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Appendix H

Mental Health and Adult Disability Services Redesign

MENTAL HEALTH AND ADULT DISABILITY SERVICES REDESIGN

Senate File 525 (FY 2012 Adult Disability Services Redesign Act) sets a framework to redesign the Adult Disability Service System. The System includes persons with mental illness, intellectual disabilities, or developmental disabilities. The legislation specifies that it is the intent of the General Assembly to implement the following:

- Shifting funding responsibility for the nonfederal share of Medicaid from the counties to the State.
- Reorganizing the System to be administered by counties on a regional basis in a manner that provides multiple points of access in the region for both Medicaid and non-Medicaid funded services.
- Replacing legal settlement as the basis for determining financial responsibility for Adult Disability Services with a determination based on residency.
- Meeting the needs of consumers with disabilities in a responsive and cost effective manner.

Workgroups

Throughout the summer, seven different workgroups met to examine the current system, study best practices, and make recommendations on changes that need to be made in the system. The principles and guidelines used by the workgroups were as follows:

- Incorporating strategies to allow individuals to receive services in accordance with the Olmstead principles.
- Continuing the DHS leadership role in the Medicaid Program in defining services covered, establishing reimbursement methodologies, and engaging in federal options for program enhancements that are beneficial to consumers and the state such as medical or behavioral health homes.
- Implementing mental health crisis response services statewide in a manner determined to be most appropriate by each region.
- Reviewing best practices and programs utilized by other states in identifying new approaches for addressing the needs for publicly funded services for persons with brain injury.
- Developing a proposal for addressing service provider shortages.
- Developing a proposal for service providers addressing co-occurring mental health, intellectual disability, brain injury, and substance abuse disorders.
- Developing a proposal for redesign of publicly funded children's disability services, including but not limited to the needs of children who are placed out-of-state due to the lack of treatment services in State.

With the assistance of the Technical Assistance Collaborative, who facilitated the meeting, a preliminary report was filed October 31, 2011, with recommendations from all seven workgroups. The report is available here: http://www.dhs.iowa.gov/docs/IowaRedesignInterimReportREVFinal_11-08-2011.pdf. The report sets out a roadmap for reforming the systems of service for people with disabilities.

On December 9, 2011, the Department of Human Services released a final report that was submitted to the Interim Legislative Committee. The report is available here: http://www.dhs.iowa.gov/docs/DHS-MHDS_SystemRedesignReportFINAL_12-09-2011.pdf. The report focuses on areas where the workgroups views were unclear or where they had a difference of opinion with the workgroup recommendations. The report also provides financial estimates for redesign of the system.

Interim Study Committee

The Interim Study Committee on Mental Health and Disability Services met three times between October and December of 2011. The Committee reviewed the preliminary workgroup report and then reviewed and approved the final DHS report that will be drafted into legislation with some minor additions and changes. The Committee also spent time reviewing property tax information. Senate File 209 (FY 2012 Tax Changes and Supplemental Appropriations Act) repeals the Mental Health Property Tax Levy effective July 1, 2013. The levy currently raises \$118.3 million and has the potential to raise \$125.8 million annually. Counties are still mandated to provide Mental Health and Disability Services. The Committee did not make any recommendations on how they planned to replace the lost revenue, but they did state that it is necessary for the system that revenue be replaced from some funding source, whether it is property taxes or a General Fund appropriation. For more information on the Mental Health and Disability Services Redesign Interim Study Committee, see the Legislative Interim webpage: <https://www.legis.iowa.gov/Schedules/committee.aspx?GA=84&CID=541>

Governor's Recommendations

The Governor stated that he supports a phased-in reform including regional management, core services, and the state eventually assuming the full cost of the nonfederal share of Medicaid from the counties. The Governor is recommending \$17.75 million in new funding and an additional \$12.25 million in savings for a total of \$30.0 million to begin the buyout of the nonfederal share of Medicaid and to begin implementing the redesign. The plan requires the State to assume the costs for the Intellectual Disabilities Waiver, Habilitation Services, Target Case Management, a portion of intermediate care facilities for persons with mental retardation (ICF/MR) costs, and other Medicaid expenditures in FY 2013. Counties will continue to fund the State Resource Centers and \$6.4 million of the \$58.0 million total costs of ICF/MR's. The Governor is also recommending \$3.9 million in new initiatives including \$500,000 to create Children's Health Homes; \$3.0 million for Standardized Assessments; and \$400,000 for additional DHS staff. To pay for the State buy down and new initiatives, the State would retain all funds that are currently appropriated to counties (totaling \$201.4 million) and use \$12.3 million in projected savings. Counties would have their property tax levy of \$125.8 million available to pay for non-Medicaid costs and the remaining \$21.3 million in Medicaid costs.

FY 2013 Medicaid Buy Down Chart

	Non Federal Share FY 2013	State Buy Down FY 2013	County Responsibility FY 2013
Intellectual Disabilities Waiver	\$ 130,920,139	\$ 130,920,139	\$ 0
ICF/MR	58,013,582	51,628,855	6,384,727
State Resource Centers	14,931,144	0	14,931,144
Habilitation	20,629,280	20,629,280	0
Targeted Case Management	6,172,880	6,172,880	0
Other Medicaid Expenditures	371,153	371,153	0
	\$ 231,038,178	\$ 209,722,307	\$ 21,315,871

Additional Information

The Department of Human Services is maintaining a website which has all materials distributed during the redesign process here: <http://www.dhs.iowa.gov/Partners/MHDSRedesign.html>.

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